

# statistical bulletin

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united states securities and exchange commission  
washington, d. c. 20549

# ROUND-LOT STOCK TRANSACTIONS ON THE NEW YORK STOCK EXCHANGE (SHARES AND WARRANTS)<sup>1/</sup>

Week Ended February 16, 1973

Trade Date 6	Total Reported Volume 9	Transactions of Members for Own Account, Except for Odd-Lot Dealer <sup>2/</sup>		
		As Specialists 19	As Floor Traders 20	Others 30
Mon. <sup>01</sup> Purchases	16,303,100	1,956,850	61,500	1,229,785
<sup>02</sup> Sales-Total	16,303,100	2,815,920	115,500	1,639,842
<sup>03</sup> -Short	1,128,880	710,200	27,600	221,880
Tues. <sup>04</sup> Purchases	25,651,460	3,900,970	131,000	2,044,490
<sup>05</sup> Sales-Total	25,651,460	4,507,230	216,800	2,247,945
<sup>06</sup> -Short	2,302,210	1,551,460	100,900	353,250
Wed. <sup>07</sup> Purchases	16,622,260	2,751,320	126,300	2,494,005
<sup>08</sup> Sales-Total	16,622,260	2,395,350	99,300	1,299,554
<sup>09</sup> -Short	980,380	613,080	32,100	133,670
Thurs. <sup>10</sup> Purchases	14,124,820	2,264,190	69,700	1,030,590
<sup>11</sup> Sales-Total	14,124,820	2,104,440	62,600	1,137,451
<sup>12</sup> -Short	847,330	478,230	9,900	150,800
Fri. <sup>13</sup> Purchases	13,440,310	1,929,780	89,100	1,110,600
<sup>14</sup> Sales-Total	13,440,310	2,064,560	67,500	1,000,630
<sup>15</sup> -Short	865,280	519,210	7,800	111,200
WEEK <sup>16</sup> Purchases	86,141,950	12,803,110	477,600	7,909,470
<sup>17</sup> Sales-Total	86,141,950	13,887,500	561,700	7,325,422
<sup>18</sup> -Short	6,124,080	3,872,180	178,300	970,800

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NYSE LI

<sup>1/</sup> Warrants have been included with share volume since January 3, 1972.

<sup>2/</sup> The term "members" includes regular Exchange members, Exchange allied members and Exchange member organizations. Transactions for the odd-lot account of the odd-lot dealer are reported separately. All sales totals include short sales.

**STOCK TRANSACTIONS FOR ODD-LOT ACCOUNTS  
OF ODD-LOT DEALER ON THE NEW YORK STOCK EXCHANGE**

Week Ended February 23, 1973

Trade Date 6	Customers' Odd-Lot Transactions <sup>1/</sup>		Dealer Round-Lot Transactions	
	9 Shares	17 Value	27 Shares	35 Value
<b>Mon.</b> <b>01 Purchases</b> <b>02 Sales-Total</b> <b>03 -Short</b>		C L O	S E D	
<b>04 Purchases</b> <b>Tues. 05 Sales-Total</b> <b>06 -Short</b>	330,556 446,216 4,034	14,860,167 20,345,876 266,005	105,710 215,370 0	3,680,451 8,789,818 0
<b>07 Purchases</b> <b>Wed. 08 Sales-Total</b> <b>09 -Short</b>	284,588 393,850 5,961	12,493,966 17,783,630 353,940	85,830 219,870 0	2,906,003 9,722,771 0
<b>10 Purchases</b> <b>Thurs. 11 Sales-Total</b> <b>12 -Short</b>	271,690 374,869 5,251	11,955,241 17,215,807 362,053	98,410 180,400 0	3,463,896 7,831,003 0
<b>13 Purchases</b> <b>Fri. 14 Sales-Total</b> <b>15 -Short</b>	280,412 362,474 5,024	12,129,337 16,422,658 290,679	84,500 196,170 0	3,038,975 8,201,294 0
<b>16 Purchases</b> <b>WEEK 17 Sales-Total</b> <b>18 -Short</b>	1,167,246 1,577,409 20,270	51,438,711 71,767,971 1,272,677	374,450 811,810 0	13,089,325 34,544,886 0

<sup>1/</sup> In odd-lot transactions, customers' purchases are dealers' sales and sales are dealers' purchases.

**NOTE:** All sales totals include short sales

# ODD-LOT CUSTOMERS PURCHASES AND SALES ON THE NEW YORK STOCK EXCHANGE IN 100 SELECTED COMMON STOCKS

NAME OF STOCK	WEEK ENDED FEBRUARY 2, 1973		WEEK ENDED FEBRUARY 9, 1973		WEEK ENDED FEBRUARY 16, 1973		WEEK ENDED FEBRUARY 23, 1973	
	PURCHASES *****	SALES *****	PURCHASES *****	SALES *****	PURCHASES *****	SALES *****	PURCHASES *****	SALES *****
ADMIRAL CORPORATION	656	691	1,238	687	2,396	660	1,965	190
ALLIED CHEMICAL CORPORATION	1,621	4,368	2,288	4,539	2,221	7,008	1,291	3,721
ALLIS CHALMERS CORP.	1,397	886	1,216	998	1,606	812	976	562
ALUMINUM CO. OF AMERICA	538	990	819	941	1,241	1,296	547	1,308
AMERICAN AIRLINES, INC.	19,019	1,871	22,076	2,131	17,599	2,199	8,743	2,291
AMERICAN BRANDS, INC.	2,018	3,371	3,082	2,966	1,800	3,075	1,990	2,793
AMERICAN CAN COMPANY	2,117	5,477	1,698	3,937	2,587	4,016	2,166	6,685
AMERICAN CYANAMID CO.	3,618	4,590	4,671	4,912	5,110	5,361	2,104	3,248
AMERICAN MOTORS CORP.	2,653	2,737	3,796	3,559	3,793	2,922	2,075	2,109
AMERICAN TEL. & TEL. CO.	20,064	56,682	21,972	4,851	23,948	44,716	16,273	34,955
AMF INCORPORATED	3,259	2,222	2,564	2,114	2,606	1,829	1,268	1,255
AMPEX CORPORATION	1,332	1,692	1,093	1,780	964	1,255	1,068	1,230
ANACONDA COMPANY	1,576	3,180	2,022	3,651	1,973	5,097	1,230	1,710
APECO CORPORATION	1,228	924	673	696	1,207	1,246	848	2,400
AVCO CORPORATION	1,049	1,548	1,620	2,730	1,432	1,887	653	868
AVNET, INC.	1,207	1,452	860	1,671	1,076	1,631	1,032	1,846
BETHLEHEM STEEL CORPORATION	6,778	3,806	5,761	5,185	5,868	4,103	1,473	875
BOEING COMPANY	3,559	3,010	2,736	3,249	1,973	4,690	3,034	3,480
BRUNSWICK CORPORATION	1,921	2,031	949	1,065	1,020	1,582	2,464	2,641
BURROUGHS CORPORATION	3,097	5,982	3,482	6,456	3,518	7,424	704	1,464
CHRYSLER CORPORATION	6,908	12,793	11,257	6,854	9,947	9,246	1,533	3,866
COLUMBIA GAS SYSTEM, INC.	1,827	4,004	776	4,201	1,043	4,281	5,415	6,637
COMMONWEALTH OIL REFINING CO.	701	813	729	1,343	2,147	1,570	6,172	2,355
COMMUNICATIONS SATELLITE CORP.	1,022	1,842	897	2,755	1,151	1,795	1,111	1,683
CONSOLIDATED EDISON CO. OF N.	6,578	10,807	5,824	8,317	3,849	6,415	463	1,794
CONTINENTAL AIRLINES, INC.	3,444	1,016	3,380	1,747	3,362	1,258	2,910	5,428
CONTROL DATA CORPORATION	7,113	3,279	3,636	3,249	6,436	3,613	2,111	960
DART INDUSTRIES, INC.	673	2,468	709	2,356	404	2,944	2,699	2,526
DOW CHEMICAL COMPANY	1,966	4,462	4,370	5,676	5,289	6,508	341	2,379
DU PONT DE NEMOURS (E.I.) & C	2,558	6,105	3,197	5,676	3,009	5,278	1,932	3,955
EASTERN AIR LINES, INC.	12,924	2,615	23,800	3,034	13,311	2,778	1,581	5,102
EASTMAN KODAK COMPANY	13,663	17,417	18,512	16,351	18,846	20,054	7,704	9,965
EXXON CORPORATION	7,801	38,433	7,522	47,895	8,296	44,788	10,831	9,625
FAIRCHILD CAMERA & INSTRUMENT	4,474	7,887	3,865	6,118	3,181	6,145	5,005	17,890
FAIRCHILD INDUSTRIES, INC.	668	652	661	903	195	461	3,932	3,032
FORD MOTOR COMPANY	5,056	17,246	7,302	11,693	9,308	9,779	52	675
GAF CORPORATION	2,200	3,285	4,614	2,978	4,107	2,239	3,612	7,159
GENERAL DYNAMICS CORP.	421	1,483	786	1,626	349	1,219	2,357	2,500
GENERAL ELECTRIC COMPANY	7,794	1,805	7,090	14,413	7,590	16,176	737	2,467
GENERAL FOODS CORPORATION	2,765	4,790	3,147	4,602	3,875	4,312	5,487	10,244
GENERAL MOTORS CORPORATION	22,007	38,060	28,499	27,397	32,582	26,111	2,134	3,711
GEN'L TEL. & ELECTRONICS CORP.	3,140	12,893	3,278	13,558	4,630	10,370	18,627	26,134
GOODYEAR TIRE & RUBBER CO.	3,176	4,794	3,676	4,516	4,395	3,440	3,192	9,538
GREAT WESTERN FINANCIAL CORP.	103	573	565	1,094	182	519	3,201	3,080
GULF OIL CORPORATION	23,841	13,244	23,367	11,911	29,462	15,325	149	511
GULF & WESTERN INDUSTRIES	3,194	3,646	2,272	3,198	2,017	2,838	13,969	9,002
HIGH VOLTAGE ENGINEERING CO.	261	384	43	395	252	440	1,829	1,920
HONEYWELL, INC.	3,913	3,414	2,527	4,136	4,577	3,620	225	359
INT'L BUSINESS MACHINES CORP.	26,190	25,662	19,379	25,229	26,525	27,501	3,938	3,763
INTERNATIONAL HARVESTER CO.	4,303	2,678	4,414	2,880	8,758	3,263	15,902	15,995
							5,040	3,251



ODD-Lot CUSTOMERS PURCHASES AND SALES ON THE NEW YORK STOCK EXCHANGE  
IN 100 SELECTED COMMON STOCKS

NAME OF STOCK	WEEK ENDED		FEBRUARY 9, 1973		FEBRUARY 16, 1973		FEBRUARY 23, 1973	
	PURCHASES	SALES	PURCHASES	SALES	PURCHASES	SALES	PURCHASES	SALES
INT'L NICKEL CO. OF CANADA	*****	*****	*****	*****	*****	*****	*****	*****
INTERNATIONAL PAPER COMPANY	329	3,311	282	3,368	1,240	3,040	225	2,173
ITEK CORPORATION	632	3,583	526	3,187	887	5,252	926	3,197
JOHNS-MANVILLE CORP.	2,173	1,375	4,024	2,776	2,663	2,090	965	1,298
LTV CORPORATION	1,184	2,375	2,872	1,027	2,821	1,568	2,345	1,400
LEAR SIEGLER, INC.	641	1,328	614	1,313	494	1,622	807	1,227
LITTON INDUSTRIES, INC.	876	2,053	728	928	1,199	968	928	927
MAGNAVOX COMPANY	2,916	8,183	3,088	7,404	2,798	6,411	2,796	7,018
MARCOR, INC.	6,915	2,527	9,636	2,366	13,225	2,279	13,692	4,091
MARTIN-MARIETTA CORP.	3,240	1,891	2,257	978	1,250	1,833	617	1,041
MCDONNELL DOUGLAS CORP.	1,800	1,787	1,881	1,869	1,752	1,916	783	1,113
MINNESOTA MINING & MFG. CO.	1,960	5,008	1,216	4,520	1,668	6,398	986	4,910
MONSANTO COMPANY	5,158	5,539	4,760	3,893	5,956	4,285	2,949	4,357
MOTOROLA, INC.	5,399	3,633	5,393	4,610	4,504	5,076	2,435	3,683
NORTH AMERICAN ROCKWELL CORP.	1,202	1,285	836	903	1,375	1,184	566	1,254
OCCIDENTAL PETROLEUM CO.	2,295	3,402	1,997	4,081	1,994	3,131	0	0
OWENS-ILLINOIS, INC.	3,771	7,710	3,900	8,378	3,298	8,497	2,606	7,095
PAN AMERICAN WORLD AIRWAYS	2,476	1,767	1,854	1,257	2,857	1,431	1,713	974
PASCO INCORPORATED	7,458	2,547	8,542	3,174	17,580	3,686	6,254	2,350
PENN CENTRAL COMPANY	195	70	120	437	120	382	50	405
PHILLIPS PETROLEUM CO.	905	2,177	1,531	2,194	2,423	2,496	716	1,934
POLAROID CORPORATION	2,572	10,056	2,864	10,210	2,138	7,057	2,275	6,913
PROCTER & GAMBLE CO.	12,098	6,140	9,117	7,137	7,359	10,623	3,665	7,444
RCA CORPORATION	3,262	6,193	2,202	4,281	2,869	4,169	2,340	3,135
RAYTHEON COMPANY	5,614	11,297	10,278	10,091	12,613	9,922	6,789	8,327
REICHOOLD CHEMICALS, INC.	981	1,092	1,132	932	679	1,212	428	659
R. J. REYNOLDS INDUSTRIES, IN	764	552	829	878	370	553	272	920
SANTA FE INDUSTRIES	2,784	3,038	2,347	3,715	3,600	3,185	1,668	1,999
SCM CORPORATION	2,182	2,923	2,800	2,700	3,526	2,685	0	0
SEARS, ROEBUCK & CO.	1,333	2,368	2,154	2,526	1,512	2,611	760	2,962
SINGER COMPANY	8,282	8,702	10,235	10,243	8,255	9,448	5,178	6,754
SPIRERY RAND CORPORATION	1,554	2,028	1,975	2,425	2,560	1,668	1,339	1,724
STANDARD OIL CO. OF CALIF.	1,773	5,969	1,891	4,315	2,595	7,373	1,468	4,287
SMITH & COMPANY	2,092	26,899	2,527	30,807	2,758	27,167	2,070	11,434
TELEDYNE, INC.	736	1,423	807	1,093	547	1,065	218	1,309
TENNECO, INC.	2,178	4,164	1,912	3,724	2,283	2,900	1,571	2,174
TEXACO INC.	4,377	6,886	4,043	6,375	3,885	6,998	2,560	5,445
TEXAS GULF INCORPORATED	7,362	19,483	7,337	16,159	8,267	16,970	3,811	14,372
TEXAS INSTRUMENTS, INC.	1,454	5,922	2,866	11,393	5,109	14,831	2,690	5,931
THOKOL CHEMICAL CORP.	1,604	3,002	1,632	2,091	1,415	2,770	1,533	1,227
TRANS WORLD AIRLINES, INC.	578	1,097	1,645	2,040	1,687	1,263	679	777
UNITED AIRCRAFT CORP.	1,157	1,686	577	1,187	921	2,093	529	795
UNITED CARBIDE CORP.	3,154	6,693	3,560	5,955	4,882	6,133	2,312	4,407
UNITED NUCLEAR CORP.	635	1,699	353	2,051	826	1,414	321	1,412
U.S. STEEL CORPORATION	545	806	343	891	602	644	150	513
WARNER LAMBERT CO.	2,773	6,791	2,760	7,270	3,136	7,314	2,067	6,943
WESTERN UNION CORPORATION	1,493	7,458	2,207	5,481	2,049	10,576	1,453	5,216
WESTINGHOUSE ELECTRIC CORP.	3,186	2,103	2,946	1,573	5,945	1,177	2,842	1,858
FM WOOLWORTH COMPANY	7,758	4,971	6,455	6,008	6,008	5,576	5,734	3,952
XEROX CORPORATION	3,218	4,347	3,682	3,293	3,962	3,718	2,482	2,998
	8,012	16,500	7,676	10,562	10,140	15,641	5,732	8,642
TOTALS ***	406,547	615,939	441,809	584,104	486,755	599,216	286,992	415,623

**ROUND-LOT AND ODD-LOT STOCK TRANSACTIONS  
ON THE AMERICAN STOCK EXCHANGE  
(SHARES)**

Week Ended February 16, 1973

Trade Date 6	Total Round-Lot Transactions 9	Round-Lot Transactions of Members For Own Account <sup>1</sup>			Odd-Lot Transactions Of Customers <sup>2</sup> 41
		As Specialists <sup>2</sup> 18	As Floor Traders 28	Others 33	
Mon. 61 Purchases	3,797,825	446,940	61,000	537,561	59,491
62 Sales-Total	3,797,825	676,025	74,300	219,062	66,143
63 -Short	154,070	65,120	16,300	39,500	0
Tues. 64 Purchases	4,459,305	678,915	54,700	471,645	63,033
65 Sales-Total	4,459,305	779,105	79,300	285,701	75,418
66 -Short	166,700	74,800	20,500	34,200	0
Wed. 67 Purchases	2,963,485	480,180	45,400	308,210	45,456
68 Sales-Total	2,963,485	429,735	41,900	166,321	62,185
69 -Short	93,550	32,800	5,600	16,200	0
Thurs. 10 Purchases	3,177,295	465,285	20,300	411,251	41,507
11 Sales-Total	3,177,295	380,560	34,200	323,380	55,303
12 -Short	72,950	27,200	4,700	9,100	0
Fri. 13 Purchases	3,118,970	397,790	29,800	527,300	39,954
14 Sales-Total	3,118,970	431,050	68,700	266,910	52,591
15 -Short	80,640	29,710	3,400	11,700	0
WEEK 16 Purchases	17,516,880	2,469,110	211,200	2,255,967	249,441
17 Sales-Total	17,516,880	2,696,475	298,400	1,261,374	311,640
18 -Short	567,910	229,630	50,500	110,700	0

<sup>1</sup> The term "members" includes all regular and associate Exchange members, and member firms, general, special and limited partners in member firms, and voting and non-voting stockholders in member corporations.

<sup>2</sup> On the American Stock Exchange, all odd-lot transactions are handled by specialists, and their round-lot purchases and sales for odd-lot account are not segregated from their other round-lot transactions. In odd-lot transactions, customers' purchases are specialists' sales and customers' sales are specialists' purchases.

NOTE: All sales totals include short sales.

FORM 144 FILINGS FOR WEEK BEGINNING 02/23/73 AND ENDING 03/01/73

THE FOLLOWING FORMS 144 WERE PROCESSED IN THE SECURITIES/EXCHANGE COMMISSION FOR THE WEEK INDICATED ABOVE. ACCEPTANCE OF FORM 144 DOES NOT CONSTITUTE ANY FINDING THAT IT HAS BEEN FILED AS REQUIRED OR THAT THE INFORMATION SUBMITTED IS TRUE, CURRENT OR COMPLETE.

ISSUER ISSUE SELLER NAME	SHARES TO SELL	APPROX. DATE OF SALE	ISSUER ISSUE SELLER NAME	SHARES TO SELL	APPROX. DATE OF SALE
ACADEMY COMPUTING CORP COM BROWN CLAUDE W	7,000	02/23/73	AMERICAN HOSP SUPPLY CORP COM MURDOUGH THOMAS G	6,000	02/26/73
TOTAL.....	7,000		TOTAL.....	6,000	
ADAMS RUSSELL INC COM DUNCAN HOWARD D JR	7,000	02/26/73	AMERICAN PET CO COM KAYAL NICHOLAS & MARLENE	1,000	02/07/73
RICHTER EDWIN G JR	6,000	02/28/73	TOTAL.....	1,000	
TOTAL.....	13,000		AMERICAN TELEVISION E COM BROWN UNIVERSITY	1,500	02/20/73
ADVANCED DIGITAL SYS INC COM WHITE DAVID R	1,350	03/01/73	TOTAL.....	1,500	
TOTAL.....	1,350		AMERICAN TRAINING SVCS INC COM FOX ALLEN K	1,000	02/13/73
ADVANCED HENJAY SYS INC COM KERSHNER SOL	3,000	02/26/73	SHORE ELLIS W	1,300	02/13/73
SMITH EDWARD	1,350	02/23/73	TOTAL.....	2,300	
TOTAL.....	4,350		AMETEK INC COM WHITEHEAD MARION D	9,000	01/12/73
ADVANCED MICRO DEVICES INC COM WILSON STANLEY	1,000	02/15/73	TOTAL.....	9,000	
TOTAL.....	1,000		ANALOGIC CORP COM GRANT ALBERT L & PATRICIA A	1,000	03/01/73
AEROTRON INC COM KEYSTONE ENTERPRISES INC	12,000	01/08/73	TOTAL.....	1,000	
TOTAL.....	12,000		ANALYTICAL SYS INC N Y COM GRISAR RICHARD G	10,432	02/26/73
AGAU MINES INC COM BURNSIDE BRADLEY W	3,000	03/01/73	TOTAL.....	10,432	
MANSON JAMES G	1,000	01/31/73	ANIXTER BROS INC COM T L ADAMS & FRANCES MAY ADAMS	2,550	02/07/73
TOTAL.....	4,000		TOTAL.....	2,550	
AIR PRODS & CHEMS INC COM ALLENTOWN & SACRED HEART HOSP CENTER IN	13,500	02/23/73	APPLICATION ENGR CORP COM WATERS CHARLES E	2,300	01/17/73
TOTAL.....	13,500		TOTAL.....	2,300	
ALBERTO CULVER CO COM REHABILITATION INSTITUTE OF CHICAGO	893	02/23/73	APPLIED DIGITAL DATA SYS INC COM BORRILL BOYD R	12,000	01/29/73
TOTAL.....	893		TOTAL.....	12,000	
ALDRICH CHEM INC COM BUTH WILLIAM F	700	02/19/73	ARCAMUM NATL BK OHIO COM NITKA DAVID & LAURETTE	2,158	02/22/73
TOTAL.....	700		NITKA MARTIN & THELMA D	2,158	02/22/73
ALLEN GROUP INC COM ETHERINGTON GEOFFREY	3,000	02/17/73	TOTAL.....	4,316	
TOTAL.....	3,000		ARCS EQUITIES CORP COM HELMAN IRA	6,382	03/20/73
ALLIED MAINTENANCE CORP COM HOSCH MAURICE	3,879	01/30/73	TOTAL.....	6,382	
TOTAL.....	3,879		ARGUS INC COM LUTZ GEORGE	4,825	02/19/73
AMCOURT SYS INC COM BRITISH-AMERICAN TRUST CORPORATION LTD	2,500	02/19/73	TOTAL.....	4,825	
TOTAL.....	2,500		ARROW ELECTRS INC COM MILANO JAMES	364	02/22/73
AMERICAN ARTS & CRAFTS INC COM REESE DAISY ANNE	1,300	02/23/73	TOTAL.....	364	
REESE DAISY ANNE	1,300	02/26/73	ASHLAND OIL INC COM ESTATE OF JACKSON U W	20,000	02/22/73
REESE LOUIS G	10,000	02/23/73	TOTAL.....	20,000	
REESE LOUIS G	10,000	02/26/73	ASSOCIATED TRANS INC COM HORTON HENRY C	300	02/21/73
REESE LOUIS G THIRD	1,300	02/23/73	TOTAL.....	300	
REESE LOUIS G THIRD	1,300	02/26/73	AUTOMATED MARKETING SYS INC COM BELTH IRA	2,000	02/22/73
SHULER HAROLD R	3,000	02/26/73	TOTAL.....	2,000	
STILES JAMES E	1,200	02/16/73	AUTOMATIC DATA PROCESSING INC COM UNITED JEWISH APPEAL OF ESSEX COUNTY	120	12/11/72
THOMPSON JACK S	12,500	02/26/73	TOTAL.....	120	
WILSON LUELLIS M	500	02/23/73	AVERY PRODS CORP COM AVERY R STANTON	15,000	02/23/73
WILSON LUELLIS M	500	02/26/73	TOTAL.....	15,000	
TOTAL.....	42,900		AVNET INC COM NORTH SHORE HOSPITAL	3,000	02/28/73
AMERICAN BIOMEDICAL CORP COM HILBERT CAROLINE M/CUST BEN	600	02/12/73	TOTAL.....	3,000	
HILBERT CATHERINE L/CUST BEN	600	02/12/73	AXIAL CORP COM BURT JOHN	6,000	02/19/72
HILBERT JEANNE C/CUST BEN	600	02/12/73	TOTAL.....	6,000	
HILBERT PAUL A/CUST BEN	600	02/12/73	BACHE & CO INC		
HILBERT THOMAS G/CUST BEN	600	02/12/73			
TOTAL.....	3,000				
AMERICAN BROADCASTING COS INC COM GARMISA LEONARD R	3,020	02/21/73			
TOTAL.....	3,020				

COM AUERBACH JONATHAN L	400 01/17/73	COM ESTATE OF HANNA MAUD	634 02/23/73
GRANAHAN RICHARD W	1,000 02/12/73	CINCINNATI FHL CORP	TOTAL..... 634
MING LESLIE L & EDWINA M	1,500 03/15/73	COM FERGUSON M A & CATHERINE E	2,888 02/22/73
BALDWIN & LYONS INC	TOTAL..... 2,900	CLINICAL SCIENCES INC	TOTAL..... 2,888
COM FOOTE SHIRLEY ANN	1,000 01/23/73	COM COHEN GERALD	4,000 02/19/73
HAUCK ALBERT L JR	1,000 01/23/73	COMEN NORMAN	800 02/19/73
TOTAL..... 2,000		LIGHTBURN JAMES B	2,000 02/19/73
BARRY R G CORP		RASKIN SAUL	10,400 02/19/73
COM ZACKS DANIEL ANDREW TAMARA DEBRA/CUST B	1,000 02/05/73	UHL RICHARD	900 02/19/73
TOTAL..... 1,000		ZINNEHAN HARVEY	2,000 02/22/73
BEVERLY ENTERPRISES		COBE LARS INC	TOTAL..... 20,100
COM GONZALEZ FREDERICK J	6,014 02/23/73	COM HAZZELLA DAVID G	780 02/20/73
WINTERS BASILIO DAVILA	22,748 02/21/73	COCA COLA CO	TOTAL..... 780
TOTAL..... 28,762		COM ATLANTA ARTS ALLIANCE INC	9,300 02/13/73
BOW VALLEY INDS LTD		COHERENT RADIATION	TOTAL..... 9,300
COM LUKACS JOSEPH	200 02/22/73	COM MCADDEN GORDON M	580 02/19/73
R R MCDANIEL ENTERPRISES LTD	9,000 02/26/73	COLUMBIA PRECISION CORP	TOTAL..... 580
VANRENSSELAER HENRY C	20,000 02/19/73	COM AUCHINCLOSS PARKER & REDPATH	2,950 02/26/73
TOTAL..... 25,200		COM SH INC	TOTAL..... 2,950
BRENNAND PAIGE INDS INC		COM SPURR STEPHEN H	1,300 03/01/73
COM CHAMBERLAIN RITA M	700 02/15/73	COMBUSTION EQUIP ASSOC INC	TOTAL..... 1,300
BROWNING	700	COM EDUCATIONAL BROADCASTING CORPORATION	600 02/15/73
COM ROSENTHAL MANUEL	1,356 02/26/73	ESTATE OF DIAMOND ARNOLD M	61,618 02/20/73
TOTAL..... 1,356		COMPUAMP INC	TOTAL..... 62,418
BUILDING SYS INC		COM ROSS ROSA	500 12/11/72
COM LEONE LOUIS A	6,000 02/23/73	COMPUSCAN INC	TOTAL..... 500
MANDALFINO DOMINIC A	1,250 02/20/73	COM JORDAN NATHAN E	2,500 03/15/73
MANDALFINO MARY S	1,250 02/20/73	LEIBOWITZ SOL	1,250 03/15/73
TOTAL..... 8,500		COMPUTER COMMUNICATIONS INC	TOTAL..... 3,750
BUNNINGTON CORP		COM MAGLUND ALLEN	5,000 02/14/73
COM DIAMOND MORRIS	15,000 02/23/73	COMPUTER AUTOMATION INC	TOTAL..... 5,000
HELLMAN BRUCE	11,000 02/23/73	COM WRIGHT H DUDLEY	13,500 02/29/73
TOTAL..... 26,000		COMPUTER PRODS INC	TOTAL..... 13,500
BURNUP & SIMS INC		COM YODER DAVID C	5,000 02/23/73
COM HALL JAMES H	8,000 02/20/73	COMPUTER SERVICENTERS INC	TOTAL..... 5,000
TOTAL..... 8,000		COM HARNED FAYETTE F JR	2,010 02/20/73
BURTON HAWKS INC		COMPUTER MACHY CORP	TOTAL..... 2,010
COM BURTON GUY C JR	13,333 12/14/72	COM MORGAN ROBERT M	1,200 02/16/73
TOTAL..... 13,333		CONSOLIDATED RES INC	TOTAL..... 1,200
CAMPBELL CHAIN CO		COM ANDERSON W D T	1,000 02/21/73
COM BARNES SARAH P M	1,740 02/21/73	CONSULTANTS & DESIGNERS INC	TOTAL..... 1,000
NABSTEDY TRACY S JR	1,740 02/21/73	COM SWEER IRVING	1,751 11/10/72
TOTAL..... 3,480		COUNTRY KITCHEN INTL INC	TOTAL..... 1,751
CANYON SILVER MINES INC		COM MASSIE BEULAH	7,900 02/14/73
COM HOBROW IRIS	5,000 02/19/73	CROCKER NATL CORP	TOTAL..... 7,900
CAPITAL CITIES		COM	
COM FAIRCHILD JAMES JILL JOHN/CUST BEN	2,000 03/01/73		
TOTAL..... 2,000			
CARDIODYNAMICS INC			
COM ROY ROBERT F	5,000 02/13/73		
TOTAL..... 5,000			
CARIBBEAN SHOE CORP			
COM KRELL STANLEY	3,000 02/25/73		
TOTAL..... 3,000			
CARROLLS DEV CORP			
COM KOLINSKI ANTHONY T	5,000 02/15/73		
TOTAL..... 5,000			
CELLU CRAFT INC			
COM SCHAEFFER CLARA	1,000 02/20/73		
TOTAL..... 1,000			
CHASE MANHATTAN CORP			

ROSENBLATT NEO R	100 02/20/73	COM LASSISE & CO. INC	02/20/73
TOTAL.....	100		
CRUTCHER RES CORP		DIVERSIFIED EARTH SCIENCES INC	TOTAL.....
COM		CL A	
ESTATE OF CRUTCHER & S	8,590 02/12/73	JEWISH FEDERATION COUNCIL OF GREATER LA	552 02/26/73
TOTAL.....	8,590		
CURTISS WRIGHT CORP		DOCUTEL CORP	TOTAL.....
COM		COM	
BITTERMAN SEYMOUR S	1,500 02/27/73	BARKSDALE JOHN C	875 02/23/73
TOTAL.....	1,500	BURKE THOMAS W JR	1,000 02/23/73
CYPRUS MINES CORP		JACKSON ALBERT F THIRD	1,350 02/23/73
COM		KELLY LAWRENCE E	500 02/23/73
SPRAGUE NORMAN F & CARYLL M	1,500 02/22/73	KELSEY JOHN WILSON	500 02/23/73
TRUST FBO SPRAGUE CYNTHIA E	2,000 02/22/73	MCCORD CHARLES T THIRD	250 02/23/73
TRUST FBO SPRAGUE ELIZABETH M	2,000 02/22/73	SLEDGE GEORGE E	425 02/23/73
TRUST FBO SPRAGUE NORMAN F THIRD	2,000 02/22/73		
TOTAL.....	7,500		
DAMON CORP		TOTAL.....	4,900
COM		DONBAR DEV CORP	
BERG JUDITH GOLDHAFT	719 02/20/73	COM	
GOLDHAFT BRYNA G	3,419 02/20/73	FARIS GEORGE N	21,000 02/23/73
GOLDHAFT DEBORAH S CUST BEN	582 02/20/73	TOTAL.....	21,000
GOLDHAFT TEVIS M	5,243 02/20/73	DONOVAN COS INC	
JOHNSON LINDA G	719 02/20/73	CL A	
STAFFORD SONIA	439 02/20/73	CAMPAIGN FOR HUMAN DEVELOPMENT ARCHDIOCE	400 02/20/73
WERNICOFF HELEN	3,526 02/20/73	TOTAL.....	400
WERNICOFF NATHAN	5,292 02/20/73	DOWNE COMMUNICATIONS INC	
WERNICOFF TEVIS M	978 02/20/73	COM	
TOTAL.....	20,917	ACCOUNT JANUS	6,000 02/26/73
DATA CARD CORP		TOTAL.....	6,000
COM		DYNA FLEX CORP	
ESTATE OF LEVER JOSEPH E	714 02/20/73	CL A	
TOTAL.....	714	BUMER RICHARD D	5,000 02/16/73
DATA GEN CORP		TOTAL.....	5,000
COM		DYNAMICS CORP ANER	
SELIGMAN LAWRENCE	2,000 02/12/73	COM	
TOTAL.....	2,000	BOLTE JANICE N	832 03/01/73
DATASCOPE CORP		BOLTE WILLIAM J	2,832 03/01/73
COM		TOTAL.....	3,664
SILVAN LEON D	937 02/01/73	DYNARAD INC	
TOTAL.....	937	COM	
DAVIS INC		LAWSHE EMMETT	500 05/11/73
COM		LAWSHE EVELYN G	500 05/11/73
GROSHUT SEYMOUR	5,000 05/01/73	TOTAL.....	1,000
TOTAL.....	5,000	EDP TECHNOLOGY INC	
DAYLIN INC		COM	
COM		EVANS THOMAS W	10,000 02/20/73
SCHWARTZ CHARLES	6,000 03/10/73	TOTAL.....	10,000
TOTAL.....	6,000	EARTH SCIENCES INC	
DEKALB AGRESEARCH INC		CL A	
CL B		ROSENBAUM IRVING JR	8,000 01/11/73
MOLIN HAROLD E	3,400 02/19/73	TOTAL.....	8,000
TOTAL.....	3,400	EASTERN GAS & FUEL ASSOC	
DENTO MED INDS INC		COM	
COM		COMBINED JEWISH PHILANTHROPIES OF GREAT	1,000 01/22/73
LIPSON HYMAN	500 02/26/73	TOTAL.....	1,000
SCHOENHOLZ NATALIE	10,000 02/19/73	ECKERD JACK CORP	
TAUMAN PAMELA ROSE CUST BEN	1,000 02/26/73	COM	
TAUMAN RICHARD L CUST BEN	1,000 02/26/73	WALTER JAMES W	20,000 01/14/73
TOTAL.....	12,500	TOTAL.....	20,000
DIGITAL DATA SYS CORP		ECKERD DRUGS INC DEL	
COM		COM	
STEPHENSON BRIAN K/CUST BEN	4,000 02/19/73	LEGARE CHARREL	1,000 02/22/73
STEPHENSON ERICA LYNN/CUST BEN	4,000 02/15/73	TOTAL.....	1,000
STEPHENSON KENRICK D JR	4,400 02/19/73	ECOLOGY INC	
STEPHENSON KOREN L/CUST BEN	4,000 02/19/73	COM	
STEPHENSON KRISTA L/CUST BEN	4,000 02/19/73	SHELDON JOHN W	1,600 03/08/73
TOTAL.....	20,400	TOTAL.....	1,600
DIGITAL EQUIP CORP		EL TRONICS INC	
COM		COM	
OLSEN KENNETH M	3,000 02/26/73	SIMPSON DAMON G	1,666 02/19/73
TOTAL.....	3,000	TOTAL.....	1,666
DILLINGHAM CORP		ELDONADO INS CO	
COM		COM	
VILLA RUSSE PROPERTIES LTD	10,000 / /	JARRETT NORMAN A	400 02/01/73
TOTAL.....	10,000	TOTAL.....	400
DIMENSIONAL COMMUNICATIONS		ELECTRONIC MEMORIES &	
CORP		COM	
		KING KENNETH O	9,000 03/01/73
		TOTAL.....	9,000
		ELGIN NATL INDS INC	
		COM	
		ALMAN ALLEN E	20,750 02/15/73
		TOTAL.....	20,750

EMERSON ELEC CO COM GREGG DANIEL M	400 03/15/73	GREAT EASTN MGMT COPP COM VEPBIN SYD	5,000 02/01/73
TOTAL.....	400	TOTAL.....	5,000
ENERGY MINERALS CORP COM DROULLARD E K & ELLEEN L	40,000 02/26/73	GUARDIAN MTC INVS SH BEN INT GENERAL ELECTRIC PENSION TRUST	21,000 11/10/72
TOTAL.....	40,000	TOTAL.....	21,000
EPIC CORP COM BRITISH-AMERICAN TRUST CORPORATION LTD	1,600 02/19/73	HMO INTL COM LEVIN BORIS B	3,000 02/22/73
TOTAL.....	1,600	HOLL DAVID M	2,000 02/20/73
EXECUTIVE SECS CORP COM HOOPER HOWARD T	1,809 02/28/73	HAMILTON BROS PETE CORP COM STONE MARION R	5,000
TOTAL.....	1,809	STONE ROBERT G JR	1,000 02/22/73
FABRI TEK INC COM FERRELL BURTON JR	6,493 02/22/73	TOTAL.....	2,000
TOTAL.....	6,493	HARDWICKE COS INC COM WOLLOWICK DAVID	1,228 02/15/73
FARADAY LABS INC COM SURESKY ARNOLD	6,500 02/28/73	TOTAL.....	1,228
TOTAL.....	6,500	HEATH TECNA CORP COM FRANCK DARREL F	24,238 02/22/73
FILTER DYNAMICS INTL INC COM CHAPEL GLEN R	2,331 02/11/73	TOTAL.....	24,238
TOTAL.....	2,331	MERCULES INC COM BRANT ELMER J	16,300 02/21/73
FINNIGAN CORP COM WILSON JOHN M JR	1,167 02/21/73	TOTAL.....	16,300
TOTAL.....	1,167	HEWLETT PACKARD CO COM PORTER NOEL E	2,000 02/13/73
FLYING DIAMOND CORP UTAH COM SPOUSE GARY	6,000 02/23/73	TOTAL.....	2,000
TOTAL.....	6,000	HI G INC COM WILLIAMS CLIFFORD W	1,600 03/05/73
GALAXY CARPET MLS INC COM CENTER CARVER	200 02/14/73	TOTAL.....	1,600
TOTAL.....	200	HIRSCHMORN DON INC COM TRAFACHER HOWARD G & MYRTA B	5,000 02/19/73
GANNETT INC COM MCCLURE J WARREN	15,000 02/15/73	TOTAL.....	5,000
TOTAL.....	15,000	HOOVER BALL & BEARING CO COM SANFORD EMMETT C JR	5,000 03/01/73
GENERAL HED CORP COM ESTATE LAMEY PAUL T	7,000 03/20/73	TOTAL.....	5,000
TOTAL.....	7,000	HUNTINGTON HEALTH SVCS INC COM ETKINS PAUL/AUDREY	549 02/23/73
GENERAL REINS CORP N Y COM METROPOLITAN MUSEUM OF ART	4,229 04/20/73	TOTAL.....	549
TOTAL.....	4,229	HYTEK INTL CORP COM KISLING JOHN W	1,000 02/20/73
GENERAL SHALE PRODS CORP COM ROSS L E & NELL G	3,000 02/22/73	TOTAL.....	1,000
TOTAL.....	3,000	IMDDCO INC COM GOLDBERG EDWIN L	7,069 02/26/73
GENERAL TEL & ELECTRS CORP COM LAWIN DELANO R	185 01/25/73	TOTAL.....	7,069
WARDEN JAMES R	151 02/06/73	INTEL CORP COM WHITEMAN RICHARD & DELORES	48 02/21/73
TOTAL.....	336	TOTAL.....	48
GENERIC CORP AMER COM CANNON HERBERT S	9,500 02/28/73	INTERCO INC COM COHEN BARBARA ANN	500 02/21/73
LEHRMAN IRVING	7,400 02/28/73	TOTAL.....	500
TOTAL.....	10,900	INTERCONTINENTAL ENERGY CORP COM BANK CANTRADE AG	2,500 02/19/73
GETTY OIL CO COM J PAUL GETTY MUSEUM	17,750 02/21/73	SIMASKO D L	1,588 12/19/72
TOTAL.....	17,750	TOTAL.....	4,088
GOLDEN CYCLE CORP COM BORSCHOW PAUL I	837 03/07/73	INTERCONTINENTAL TRAILSEA CORP COM CASTLE BANK & TRUST LTD	19,100 02/16/73
CHANDLER MAX L	3,250 03/07/73	FLEXER DAVID	3,250 02/23/73
ROSEN NORMAN	837 03/07/73	TOTAL.....	22,350
WILSON HAROLD W	3,576 03/07/73	INTERNARK INC COM DELACRUZ RICHARD	750 06/30/72
TOTAL.....	8,500	TOTAL.....	750
GORDON JEWELRY CORP CL A MUNDY J G	478 02/21/73	INTERNATIONAL FLAVORS & COM FRAGRANCES INC	600 02/26/73
TOTAL.....	478	TRUST FBO AUCHINCLOSS LILY	600 02/26/73
GREAT BASINS PETE CO COM KEYES ROBERT L	37,000 02/14/73	TRUST FBO KIND PATRICIA	600 02/26/73
TOTAL.....	37,000	TRUST FBO VAN AMERINGEN HENRY P	600 02/26/73

INTERNATIONAL FOODSERVICE SYS INC	TOTAL.....	1,000	COM AMES GERALD A	6,000 02/21/73
COM MARK ARTHUR		8,500 02/13/73	LYDALL INC	TOTAL.....
	TOTAL.....	8,500	COM SUDARSKY DANIEL	1,000 02/15/73
INTERNATIONAL RTY & OIL CO		19,000 02/16/73	MCI COMMUNICATIONS CORP	TOTAL.....
COM ADRISSON ALBERT W		19,000 02/26/73	COM BARRETT LEONARD	1,000 02/15/73
PETRODYNAMICS INC	TOTAL.....	38,000		1,000
INTERCIL INC		350 02/26/73	M P C INC	TOTAL.....
COM MARSHALL JOHN D		350	COM UNGAR SIDNEY	1,400 02/22/73
INTERSTATE RACING ASSN INC	TOTAL.....	1,500 02/12/73		1,400
CL A BLEDSOE CARL B		1,500	MACHILLAN BLOEDEL LTD	
	TOTAL.....	641 02/16/73	ORD	3,000 02/13/73
IYEL CORP		641	TRUST FBO HARRISON PATRICIA W	3,000 02/13/73
COM HILL STACY H	TOTAL.....	4,000 02/09/73	TRUST FBO ONEILL MARGARET W	3,000 02/13/73
JOHNS MANVILLE CORP		4,000	TRUST FBO MALSH ELEANOR W	3,000 02/13/73
COM JANSS WILLIAM C	TOTAL.....	10,100 02/21/73	TRUST FBO WILSON FREDERICK	3,000 02/13/73
KAISER RES LTD		10,100	TRUST FBO WILSON SUSAN	15,000
COM KRL INVESTMENTS LIMITED	TOTAL.....	2,000 02/09/73	MAJOR RLTY CORP	
		2,000	COM GREATER MIAMI JEWISH FEDER	1,000 03/02/73
KANEB SVCS INC	TOTAL.....	5,000 02/20/73		1,000
COM WESTERN CAROLINA UNIVERSITY		5,000	MARK FINL	20,000 02/13/73
	TOTAL.....	4,800 02/05/73	COM MARR LAWRENCE D	20,000
KAY MARY COSMETICS INC		9,556 02/20/72	HARLEMAN CORP	1,000 11/30/72
COM GOODIER ELWOOD J JR	TOTAL.....	10,156	COM YOUNG FRANK A	1,000
		110 02/21/73	HARRIOTT CORP	500 01/23/73
KERONIX INC		100 02/20/73	COM HARRIOTT PHILIP B	800 02/14/73
COM HANSEN MICHAEL D	TOTAL.....	210	HARRIOTT PHILIP B	1,300
LENDMAN WILLIAM		9,150 02/22/73	MATHEMATICA INC	2,200 02/12/73
	TOTAL.....	9,150	COM MEYER EDWARD H	2,200
KERR MCGEE CORP		1,000 02/26/73		2,000 02/16/73
COM ROBINSON STEPHEN C CUST BEN		1,000	MAY PETE INC	2,000 02/22/73
TAYLOR BURRELL G	TOTAL.....	2,000 02/01/73	COM GERST M DEAN	4,000
		2,000	COM GERST W DEAN	2,100 02/12/73
KOPPERS INC		2,368 02/25/73		2,100
COM LAUREL FOUNDATION	TOTAL.....	32,500 02/26/73	MAYER OSCAR & CO INC DEL	3,000 03/01/73
LAOD PETE CORP		28,200	COM TRUST FBO HEDGYESY HELEN MAYER	3,000
COM DASSALENAUX LOUIS R FOURTH	TOTAL.....	28,200	MCDONRAN EXPL CO	350 02/26/73
LEASEPAC CORP		2,000 02/20/73	COM MUNT ROBERT A	1,650 02/22/73
COM SCHWEIDER ARLENE	TOTAL.....	128 02/26/73	MEDALIST INDS INC	1,650
LENOR INC		1,050 02/20/73	COM WILLER M A	2,000 02/15/73
COM GOUCHER HAROLD H	TOTAL.....	14,978		2,000
HICKS JACK		2,368	MEDICAL SCIENTIFIC INTL CORP	1,000 02/10/72
HICKS MERCER THIRD		32,500 02/26/73	COM HOPPER MARY JANE	930 02/22/73
TRUST FBO TURNER FRANK D	TOTAL.....	32,500	TRUST UNKNOWN/SCHMEDLER F W TRUSTEE	1,930
		28,200 03/31/73	MERCANTILE BANKSHARES CORP	300 02/20/73
LISTFAX CORP		28,200	COM ESTATE OF GARLAND CHARLES S	350 02/20/73
CL A KUPERSMITH A HARRY	TOTAL.....	2,000 02/20/73	ESTATE OF HOPFMAN ETHEL MCCLANE	988 03/20/73
LITTLE SQUAM GOLD MNG CO		2,000	ESTATE OF LAUPHEIMER ARTHUR H	84 02/20/73
COM GOODNEWS BAY MINING CO	TOTAL.....	2,000	PPD CONV 83.00	133 02/20/73
LDVE OIL INC			ESTATE OF GARLAND CHARLES S	1,455
COM INTERNATIONAL ROYALTY & OIL CO			ESTATE OF NESTHEIMER CARRIE B	
LOWES COS INC				
COM MAYNES IVERY				
LUCKY STORES INC				

MERRILL LYNCH PIERCE FENNER & COM BERGER ALFRED	8,000 02/23/73	NORTH AMERN BIOLOGICALS INC COM SANTER THOMAS P SR	500 02/22/73
GLASS EDWARD M	500 02/13/73	NUMERAX INC COM GIBLEN IRVYN	TOTAL..... 500
GREENE RICHARD F	1,500 02/22/73	NUTRITION WORLD INC COM NELSON RICHARD D	TOTAL..... 1,200 02/01/73
KNASTINGS JOHN O	2,000 02/23/73	ODYSSEY INC COM LYON ELLIOTT M	TOTAL..... 1,200
LISENBY THOMAS J	500 02/23/73	OPTEL CORP COM HALPERN JOHN	TOTAL..... 1,200 02/21/73
PUTNAM EDWARD D	500 02/21/73	OPTICAL COATING LAB INC COM FRUSHOUR JOY	TOTAL..... 1,280
REVARE JACK L	1,000 03/01/73	ILLISLEY CONSTANCE M	TOTAL..... 6,000 02/19/73
MET PRO MTR TREATMENT CORP CL A ROSE ARDEN H	TOTAL..... 14,000	OPTICAL RADIATION CORP COM FEIMAN THOMAS E	TOTAL..... 6,000
METPATH INC COM GALL ELEMER	TOTAL..... 1,596 02/21/73	ORIGINAL CONEY ISLAND INC COM TOMEN NICHOLAS J	TOTAL..... 3,500 02/22/73
METROPOLITAN GREETINGS INC COM POLON DONALD B & CONSTANCE S	TOTAL..... 1,596	OUTDOOR SPORTS INDS INC COM CUMMINGHAM GERALD A & CO	TOTAL..... 3,500
MID CENT PTYYS LTD COM FUSS ROSA	TOTAL..... 2,222 02/23/73	CUNNINGHAM HARRIET ANN & CO	TOTAL..... 940 02/26/73
MILLER HERMAN INC COM POEST VERNON G	TOTAL..... 2,222	OVERSEAS NATL AMYS COM MELHADO FREDERICK A	TOTAL..... 480 02/23/73
MILTON ROY CO COM WEITZEL DONALD J	TOTAL..... 3,750 02/26/73	PABST BREWING CO COM PINCUS ROBERT E	TOTAL..... 1,020
MONITOR LABS INC COM PEYTON EDWARD	TOTAL..... 3,750	PANACOLOR INC COM RUKEYSER MERRYLE S	TOTAL..... 3,000 02/15/73
RODEL MAX & MADINE	TOTAL..... 1,250 02/14/73	PARGAS INC COM POOLE IVAN R	TOTAL..... 3,000
MORTONS SHOE STORES INC COM FRIENDS ACADEMY	TOTAL..... 1,250	PEARBODY GALLON CORP COM TRUST FBO HANSON DOWNER	TOTAL..... 3,000 02/26/73
MOTION INDS INC COM SPENCER WILLIAM M THIRD	TOTAL..... 1,000 02/21/73	PEERLESS DEV CORP COM SALZBERG SAMUEL	TOTAL..... 3,000
NALCO CHEM CO COM NALCO FOUND	TOTAL..... 5,000 02/20/73	PENNZOIL UTD INC COM LIEOTKE J HUGH	TOTAL..... 4,500 01/31/73
TANNER B L	TOTAL..... 5,000	M D ANDERSON HOSPITAL	TOTAL..... 4,500 01/31/73
NATIONAL CAN CORP COM TORRAS ALVARO G	TOTAL..... 427 02/14/73	PERDUE MSG INDS INC CL A BENHAN J TED & BETTY	TOTAL..... 9,000
NATIONAL ENVIRONMENTAL CTLS COM RUODLPH HILDA	TOTAL..... 3,000 02/27/73	PERMANER CORP COM LEWIS REHBOCK	TOTAL..... 10,000 02/22/73
NATIONAL MED CARE INC COM GANNON DONALD A	TOTAL..... 3,427	PERTEC CORP COM BROWN JEAN F	TOTAL..... 10,000
NATIONAL SEMICONDUCTOR CORP COM SPRAGUE PETER J	TOTAL..... 200 02/13/73	KURTH HAROLD A	TOTAL..... 5,000 02/12/73
NATIONAL UN ELEC CORP COM SKOURAS BARBARA F	TOTAL..... 200	PHILLIPS PETE CO COM	TOTAL..... 8,900
NEURO DATA INC COM BROWN EMANUEL	TOTAL..... 5,000 02/20/73		TOTAL..... 25,000 02/12/73
DRUCKER DOROTHY	TOTAL..... 5,000 02/26/73		TOTAL..... 26,975
KLEINMAN JULIUS S	TOTAL..... 5,750 02/20/73		
NIELSEN A C CO CL B GOODIN EDWARD V	TOTAL..... 20,000		
	TOTAL..... 1,000 03/01/73		
	TOTAL..... 1,000		



MCMAHON FRANK H	243,851	02/26/73	COM FISCHER ERWIN JR	1,000	02/26/73
PICKWICK INTL INC	TOTAL.....	243,851	REPUBLIC CORP	TOTAL.....	1,000
COM CHILDRENS HOSPITAL MEDICAL CENTER	100	02/09/73	COM MCMULLEN GARY L	2,500	02/01/73
CITIZENS SCHOLSHIP FOUNO OF FALL RIVER	50	02/29/73	TOTAL.....	2,500	
FALL RIVER JEWISH HOME	350	02/02/73	RESOURCE MGMT CORP	2,820	02/12/73
GIRKA MARILYN	140	02/09/73	COM GREEVES JAMES J & PATRICIA C	TOTAL.....	2,820
GIRKA OMELON	140	02/09/73	RICOM TIME CORP	8,000	01/15/73
WHITESTONE HEBREW CENTRE	50	02/26/73	COM ROBINSON SAMUEL B	TOTAL.....	8,000
PIONEER FOOD INDS INC	TOTAL.....	830	RING ARROUND PRODS INC	1,000	02/15/73
COM ESTATE OF MULLER E THAYNE	12,830	08/18/72	COM YRCA BLUE RIDGE ASSEMBLY INC	TOTAL.....	1,000
PIZZA INN INC	TOTAL.....	12,830	ROBINO LADD CO	5,000	02/02/73
COM SPILLMAN JOHN A	800	02/16/73	COM KENDREE JACK M	TOTAL.....	5,000
PLAYBOY ENTERPRISES INC	TOTAL.....	800	ROLLINS BURDICK HUNTER CO	1,500	02/23/73
COM STANDEN CHARLES R	1,330	02/23/73	COM LOUDON MALCOLM J	TOTAL.....	1,500
PREMIER PAC INC	TOTAL.....	1,330	ROYALPAR INDS INC	3,423	02/26/73
COM MCCARTNEY ARTHUR J	3,536	02/14/73	COM ZUCKER HARRY	TOTAL.....	3,423
TINCH CHARLES B	3,536	02/14/73	RYDER SYS INC	200	02/25/73
PROCTER & GAMBLE CO	TOTAL.....	7,072	COM HANNUH MARGARET H	TOTAL.....	200
COM HARNESS EDWARD GRANVILLE	3,700	02/20/73	RYLAND GROUP INC	380	02/20/73
PROGRESSIVE CORP OHIO	TOTAL.....	3,700	COM BRYANT WILLIAM D	TOTAL.....	380
COM GROSSMAN LEO	300	02/20/73	S R C LABS INC	3,433	02/26/73
PROJECT 7 INC	TOTAL.....	300	COM ROTHSCHILD HARRY	TOTAL.....	3,433
COM RUBIN SIDNEY	1,750	02/26/73	SAMBO S RESTAURANTS INC	6,000	12/13/72
PURULATOR INC	TOTAL.....	1,750	COM REES WAYNE G	TOTAL.....	6,000
COM THOMSON ROBY BURDETTE	600	02/20/73	SAKON INDS INC	123	02/21/73
QUALITY COURTS HOTELS INC DEL	TOTAL.....	600	COM MCHILLAN ROBERT L	TOTAL.....	123
COM BAIARDO WILLIAM	3,436	03/31/73	SCHLUMBERGER LTD	22,000	02/22/73
KREMEN BENJAMIN D	1,457	03/01/73	COM MENIL FOUNDATION INC	TOTAL.....	22,000
KREMEN FANNIE H	3,500	03/01/73	SECURITY PAC NATL BK	537	02/23/73
RADIANT INDS INC	TOTAL.....	8,393	COM SEC PAC NATL BANK TR ACCT 012113340	500	02/23/73
COM GOLDFARB SAMUEL	3,000	02/08/73	SEC PAC NATL BANK TR ACCT 072057750	TOTAL.....	1,037
RAINBOW PHOTO COLOR INC	TOTAL.....	3,000	SERVISCO	300	01/19/73
COM ARD O S	13,000	01/29/73	COM WILDSTEIN LOUIS	TOTAL.....	300
RAINBOW RES INC	TOTAL.....	13,000	SENTINEL LEASING INC	500	02/20/73
COM WISE R G	2,000	02/09/73	COM HARTMAN GEORGE	TOTAL.....	500
RANCH HOUSE AMER INC	TOTAL.....	2,000	SHELL OIL CO	100	03/01/73
COM VEZOS GEORGE	3,000	02/01/73	COM YOUNG WENS CHRISTIAN ASS OF MET L A	TOTAL.....	100
RAND CAP CORP	TOTAL.....	3,000	SIGMAFORM CORP	2,000	02/23/73
COM BERMAN KURT	2,500	03/15/73	COM HAREY RICHARD W	TOTAL.....	2,000
RANGER OIL COA LTD	TOTAL.....	2,500	SOUTHLAND EQUITY CORP	3,333	02/27/73
COM WOOD GUNDO LIMITED	449	02/21/73	COM WARD BENJAMIN F JR	TOTAL.....	3,333
RECORD RETENTION RETRIEVAL	TOTAL.....	449	SOUTHWESTERN ELEC SVC CO	200	02/13/73
COM SAMBERG CHARLES	7,100	12/04/72	COM LENEVEU E W	TOTAL.....	200
REHAB CORP	TOTAL.....	7,100	SPORTS ARENAS INC	17,954	02/14/73
COM ZITIN GILBERT N	400	02/10/73	COM ESTATE OF ROSS PHILLIP		
REPROGRAPHIC MATLS INC	TOTAL.....	400			

STANDARD LIFE & ACC INS CO COM ARMINE ROBERT V	TOTAL.....	17,954	WEIL EUGENE STIX	TOTAL.....	1,717 03/01/73
		113 03/15/73	UNITED STATES SURGICAL CORP COM HERSCH LEON C	TOTAL.....	1,717
STELBER INDS INC COM SCHEUER BENJAMIN	TOTAL.....	113			500 02/16/73
		5,000 01/29/73	UNITED VA BANKSHARES INC COM TRUST FBO PATRICK BEATRICE W	TOTAL.....	500
STORY CHEM CORP COM BUSCH PETER	TOTAL.....	5,000			240 02/07/73
		3,000 02/27/73	UNIVERSAL TEL INC COM HAWTHORN PARTNERS AGENCY HAWTHORN PARTNERS AGENCY	TOTAL.....	240
SUBURBAN PROPANE GAS CORP COM BRASWELL HOMER L DOROTHY A	TOTAL.....	3,000			4,305 02/20/73 2,291 02/20/73
		1,550 02/19/73	HAWTHORN INTERNATIONAL N V	TOTAL.....	1,145 02/20/73
SUNMIT GROUP INC CL A DOMINEY DAVID N	TOTAL.....	1,550	VOGUE INSTR CORP COM ALTMAN DAVID	TOTAL.....	9,741
		350 02/20/73			10,416 02/20/73
SUNSET INDS INC COM ALPERT RUDOLPH	TOTAL.....	300	WANGCO INC ESTATE OF IRELAND JACK T ESTATE OF IRELAND JACK T	TOTAL.....	10,416
		4,200 02/01/73			500 02/21/73 500 02/21/73
SURVIVAL TECHNOLOGY INC COM SAFER JOHN H	TOTAL.....	4,200	WAXMAN INDS INC COM WAXMAN STANLEY	TOTAL.....	1,000
		20,000 01/10/73			1,000 02/27/73
SYSCO CORP COM ANDRY BROOKE S	TOTAL.....	20,000	WESTERN CRUDE OIL INC COM KLEMMANN RAY J	TOTAL.....	1,000
		1,153 02/16/73			1,000 01/25/73
TALLY CORP COM RENSHAW PHILIP E	TOTAL.....	1,153	WESTERN ENERGY CORP COM RADWICK FRED	TOTAL.....	1,000
		20,000 02/23/73			47,000 02/20/73
TANGER INDS COM LIECHT ROBERT J	TOTAL.....	20,000	WESTERN SYS MNG INC COM LARSEN GLEN L	TOTAL.....	47,000
		3,424 02/23/73			5,000 02/23/73
TERSCAN CORP COM FELKNER EDNA B	TOTAL.....	3,424	WESTINGHOUSE ELEC CORP COM BENTON ELLY B	TOTAL.....	5,000
		1,000 02/14/73			300 02/21/73
THERMODYNE INTL LTD COM ZAR MAX	TOTAL.....	1,000	WETTERAU FOODS INC COM BRIN IRVING	TOTAL.....	300
		1,875 04/15/73			3,000 02/20/73
TIME SHARING RES INC COM SULLIVAN ROBERT J	TOTAL.....	1,875	KESSLER NORMAN	TOTAL.....	3,600 02/20/73
		3,900 11/13/72	MEYERHAEUSER CO COM CARLETON COLLEGE	TOTAL.....	4,600
TIMPE INDS INC COM CARLETON ROBERT L	TOTAL.....	3,900			300 03/01/73
		1,000 02/21/73	WILLIAMHOUSE REGENCY INC COM MASON HAROLD J	TOTAL.....	300
TOPPS CHEWING GUM INC COM NEW YORK PUBLIC LIBRARY	TOTAL.....	1,000			13,007 02/15/73
		225 02/21/73	WITTER DEAN & CO INC COM BASSETT CLARK L JR	TOTAL.....	13,007
TRANSCONTINENTAL OIL CORP COM NO PAI LATHAM JAMES A	TOTAL.....	225	GRAYSON RICHARD E		1,000 02/20/73
		25,000 02/21/73	HEALY OTIS M		2,000 02/20/73
TURNER COMMUNICATIONS CORP COM MATHENY JAMES C	TOTAL.....	25,000	JACOBS C RONALD		2,500 02/18/73
		1,050 02/09/73	KROLL ARNOLD H		5,000 02/19/73
TWENTIETH CENTY FOX FILM CORP COM ZAMUCK DARRYL F	TOTAL.....	1,050	SIOLEY JACK O		7,000 02/20/73
		17,668 02/14/73	WILLIAM HODRACK		2,000 02/27/73
TYCO LABS INC COM MENCHEN BERNARD	TOTAL.....	17,668			3,720 02/21/73
		2,400 02/21/73	KIDEX INTL INC COM SAMUELS MARTIN	TOTAL.....	23,220
TYMSHARE INC COM BERLINGER GEORGE F	TOTAL.....	2,400			4,166
		7,000 01/30/73	ZALE CORP COM ZALE FOUND	TOTAL.....	4,166
BERLINGER RHONIE M		7,000 01/30/73			1,000 12/15/72
UNAC INTL CORP COM HAGENOW DAVID E	TOTAL.....	14,000			1,000
		436 03/01/73			
UNINEX CORP COM	TOTAL.....	436			

RECENT FORM 8-K FILINGS. 1/ The companies listed below have filed current reports on Form 8-K for the period indicated, responding to the item numbers which are identifying the item or items amended. Photocopies of such reports and amendments may be purchased from the Commission's Public Reference Section (in ordering, please give month and year of report).

8K REPORTS FOR JANUARY 1973

<u>NAME OF ISSUER</u>	<u>FILE NO.</u>	<u>DATE REC'D</u>
ACS Industries Inc.(2,8,14)	0-4369-2	2-2-73
A O Industries Inc.(13,14)	1-3991-2	2-9-73
ASG Inds., Inc.(4,7,8,10)	0-541-2	2-8-73
AVM Corp (3,13,14)	0-439-2	2-12-73
Aberdeen Petroleum Corp (13)	1-3989-2	2-12-73
Acme Hamilton Mfg. Corp (14)	1-775-2	2-12-73
Acousticon Systems Corp (2,7,9,14)	0-4492-2	2-12-73
Adams-Russell Co., Inc.(11)	1-5834-2	2-8-73
Adobe Corp (13)	1-7033-2	2-12-73
Adobe Investment Corp (13)	2-39314-2	2-12-73
Aero Resources Inc.(3,13)	0-5448-2	2-16-73
Affiliated Bank Corp (7)	0-5585-2	2-12-73
Air Michigan Inc.(13)	0-4908-2	2-12-73
Air Products & Chemicals, Inc.(11,14)	1-4534-2	2-12-73
Airco, Inc. (10)	1-111-2	2-12-73
Alabama Gas Corp (7,13,14)	1-3740-2	2-12-73
Alabama Power Co. (3)	1-3164-2	2-12-73
Alanthus Corp (9,11,14)	0-5938-2	2-9-73
Alaska Airlines, Inc. (7)	1-3314-2	2-9-73
Alba-Waldensian Inc.(13)	1-6150-2	2-7-73
Alison Mortgage Invest Trust (7)	1-6363-2	2-12-73
Allegheny Ludlum Inds., Inc.(7,13)	1-52-2	2-14-73
Allen Group, Inc.(4,7,14)	1-6016-2	2-13-73
Allied Equities Corp (3)	0-2121-2	2-13-73
Allied Mills, Inc.(13)	1-90-2	2-12-73
Allied Nuclear Corp (7)	0-4881-2	2-12-73
Alloy Metal Products, Inc. (3)	2-34962-2	2-15-73
Alpha Capital Corp (3)	2-36853-2	2-12-73
Amalgamated Automotive Inds., Inc. (2,13,14)	1-6886-2	2-13-73
Amarex, Inc. (2,13)	0-5335-2	2-12-73
Amerada Hess Corp (7,14)	1-1204-2	2-12-73
American Biltrite Rubber Co., Inc.(8)	1-4775-2	2-12-73
American Biomedical Corp (13)	0-4741-2	2-12-73

## 8K REPORTS FOR JANUARY 1973 CONT'D

<u>NAME OF ISSUER</u>	<u>FILE NO.</u>	<u>DATE REC'D</u>
American Brands, Inc.(13,14)	1-92-2	2-12-73
American Crystal Sugar Co.(13)	1-114-2	2-8-73
American District Telegraph Co.(3)	1-6084-2	2-12-73
American Export Inds., Inc.(8,9,14)	1-5054-2	2-12-73
American Financial Corp (13,14)	0-839-2	2-12-73
American Financial Leasing & Services, Inc. (7)	0-3512-2	2-12-73
American Garden Products, Inc. (11,14)	0-6057-2	2-12-73
American Medical Int'l Inc. (2,11,14)	1-5714-2	2-9-73
American Nuclear Corp (2,14)	0-1764-2	2-8-73
American Realty Trust (2)	1-5954-2	2-9-73
American Regitel Corp (7,14)	0-5078-2	2-9-73
American Safety Equipment Corp (2,7,14)	1-4829-2	2-9-73
American Urban Development Corp (2,14)	2-31695-2	2-14-73
Amfac Inc. (7)	1-5459-2	2-12-73
Aminex Corp (7,14)	0-6064-2	2-8-73
Amoco Pipeline Co. (11)	1-3670-2	2-12-73
Amrep Corp (13)	1-4702-2	2-12-73
Anaconda Co. (8)	1-2280-2	2-12-73
Anixter Bros., Inc. (14)	0-3326-2	2-12-73
Ansonia Wire & Cable Co. (1,2,3,13)	1-4580-2	2-13-73
Ansul Co. (13)	1-4606-2	2-7-73
Apache Corp (7,8,14)	1-4300-2	2-12-73
Applied Health Services, Inc. (13)	0-6330-2	2-12-73
Arcs Equities Corp (7,14)	0-2725-2	2-15-73
Arizona Colorado Land & Cattle Co. (2,14)	1-6169-2	2-9-73
Arkansas Louisiana Gas Co.(10,13,14)	1-3751-2	2-12-73
Arnex Inds., Corp (13)	2-42470-2	2-12-73
Arvin Inds. Inc. (3)	1-302-2	2-12-73
Aseco, Inc. (4)	0-5745-2	2-8-73
Ashland Oil Canada Ltd. (7,11,14)	1-6489-2	2-9-73
Ashland Oil, Inc.(3,7,11,14)	1-2918-2	2-7-73
Associated Food Stores, Inc.(2,13)	1-3867-2	2-12-73
Associated Mortgage Investors (7,11,14)	1-6225-2	2-8-73
Associates First Capital Corp(13,14)	2-44197-2	2-12-73

BK REPORTS FOR JANUARY 1973 CONT'D

<u>NAME OF ISSUER</u>	<u>FILE NO.</u>	<u>DATE REC'D</u>
Atico Mortgage Investors(4,7,8)	1-6350-2	2-12-73
Atlantic City Electric Co.(13,14)	1-3559-2	2-12-73
Avis, Inc. (13)	1-6977-2	2-12-73
Baker Oil Tools Inc.(11,14)	1-4509-2	2-13-73
Banco Nacional De Obras Y Servicios Publicos, S.A. (7,14)	1-5858-2	2-13-73
Barclay Inds., Inc. (13,14)	1-6989-2	2-13-73
Bazar Inc. (6)	0-14-2	2-5-73
Bendix Corp (7,10,14)	1-952-2	2-12-73
Beneficial Standard Mortgage Investors (7,8)	1-6641-2	2-12-73
Bessemer & Lake Erie RR Co. (11)	1-3529-2	2-9-73
Big Three Industries, Inc. (7)	1-5357-2	2-12-73
Bluefield Supply Co. (7,14)	0-504-2	2-12-73
Boston Edison Co. (3)	1-2301-2	2-9-73
Braden Industries, Inc. (3)	0-2270-2	2-12-73
Bresnahan Computer Corp (11)	0-4338-2	2-8-73
Bristol Myers Co. (8,14)	1-1136-2	2-12-73
Bristol Products, Inc. (13)	2-36520-2	2-12-73
Brooks Industries, Inc. (9,14)	0-6660-2	2-12-73
Brooks International Inc.(1,2,10,14)	0-3983-2	2-12-73
Brunswick Corp (8)	1-1043-2	2-12-73
Buell Industries, Inc. (11)	1-4619-2	2-12-73
Building Systems Inc. (10)	0-5810-2	2-12-73
Burlingame-Western (2,7,14)	0-4890-2	2-12-73
Burndy Corp (4,10,14)	1-4406-2	2-9-73
Burton-Hawks Inc.(12)	0-5781-2	2-12-73
C.G.S. Scientific Corp(11)	0-3351-2	2-12-73
CIC Corp (7,13,14)	1-6607-2	2-12-73
California Time Petroleum Inc. (3,14)	1-6336-2	2-12-73
Calvert Exploration Co. (8)	0-6622-2	2-12-73
The Cannon Group, Inc.(13,14)	0-6622-2	2-12-73
Canrad Precision Inds., Inc.(13)	0-4780-2	2-12-73
Cardiff Inds., Inc.(7,13)	0-5663-2	2-12-73
Carmin Foods, Inc. (13)	0-4488-2	2-12-73

BK REPORTS FOR JANUARY 1973 CONT'D

<u>NAME OF ISSUER</u>	<u>FILE NO.</u>	<u>DATE REC'D</u>
Cattleman's Investment Co. (12,13,14)	0-1526-2	2-12-73
Cavanagh Communities Corp (13,14)	1-6953-2	2-12-73
Central Bancorp Inc. (13)	2-31017-2	2-12-73
Central Bancshares of the South (3,7,11,14)	0-6032-2	2-8-73
Central Colorado Bancorp, Inc.(2)	2-37766-2	2-12-73
Central Hudson Gas & Electric Corp (3,7,14)	1-3268-2	2-12-73
Central Louisiana Electric Co Inc. (7,14)	1-5663-2	2-12-73
The Charles River Breeding Lab's Inc. (7,9,10,11,14)	0-3455-2	2-8-73
Chattem Drug & Chemical Co. (13,14)	0-5905-2	2-9-73
Chemical Express Co. (4,7,13,14)	1-6810-2	2-12-73
Chesapeake & Ohio Ry Co. (14)	1-1261-2	2-13-73
Chicago South Shore & South Bend RR (13,14)	0-4364-2	2-12-73
Christiana Companies, Inc. (9)	1-3846-2	2-12-73
Chrysler Corp (3)	1-686-2	2-12-73
Chrysler Financial Corp (3,7,14)	1-5966-2	2-12-73
The Circle K Corp (2,7,8,14)	1-5895-2	2-12-73
The Citadel Life Ins Co. of NY(12)	2-21214-2	2-12-73
Clarcen Petroleum Corp (7,8,13,14)	0-4124-2	2-8-73
Coastal States Gas Corp (1,2,7,14)	2-46539-2	2-12-73
Coastal States Gas Producing Co. (1,8,14)	1-4718-2	2-12-73
Coleman Cable & Wire Co. (3)	0-3446-2	2-9-73
Coleman Systems, Inc.(2,6,8,12,13, 14)	0-1841-2	2-12-73
Columbia General Corp (4,11,14)	0-4229-2	2-12-73
Combined Communications Corp(2,4,7,14)	1-6818-2	2-12-73
Combined Ins. Co. of America(7,14)	2-18761-2	2-12-73
Commonwealth Edison Co.(3,13)	1-1839-2	2-12-73
Comprehensive Designers, Inc.(3,14)	1-5519-2	2-12-73
Computer Business Supplies, Inc. (3,8,14)	2-35862-2	2-9-73
Computer Communications Inc.(11,13, 14)	0-4029-2	2-12-73
Computer Sciences Corp (13)	1-4850-2	2-12-73
Com-Share Inc. (4,8)	0-4096-2	2-9-73
Conner Homes Corp (12,14)	0-4252-2	2-12-73

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<u>NAME OF ISSUER</u>	<u>FILE NO.</u>	<u>DATE REC'D</u>
Conrac Corp (7,8)	1-4291-2	2-12-73
Consumers Power Co. (3)	1-5611-2	2-12-73
Continental Can Company, Inc.(8)	1-489-2	2-7-73
Continental Coffee Co. (7)	0-5987-2	2-13-73
Continental Illinois Corp (3)	1-5872-2	2-15-73
Continental Illinois Realty(3)	1-6416-2	2-12-73
Continental Investment Corp(3,13,14)	1-6735-2	2-12-73
Continental Oil Co. (7,14)	1-1131-2	2-14-73
Control Data Corp (3)	1-1969-2	2-12-73
Copeland Corp (7)	1-6942-2	2-9-73
Cosmopolitan Spa Int'l Inc.(7)	2-44746-2	2-12-73
Courtesy Drug Stores, Inc.(3,14)	2-41901-2	2-8-73
Creative Foods Corp (8)	2-41317-2	2-12-73
Credithrift Financial Corp (11,14)	1-6155-2	2-12-73
Cross Company (7,11,14)	0-115-2	2-12-73
Crum & Forster (3)	0-1348-2	2-12-73
Cunningham Art Products, Inc.(2,14)	0-6162-2	2-12-73
DCS Financial Corp (2,7,8,13)	0-6685-2	2-12-73
DWG Corp (11,14)	1-2207-2	2-12-73
Danson Oil Corp (4,7,14)	0-2062-2	2-13-73
Dan River, Inc. (3,14)	1-3912-2	2-12-73
Dant & Russell, Inc. (7)	0-3790-2	2-8-73
Data Lease Financial Corp (13)	0-4171-2	2-12-73
Data-Plex Systems, Inc.(13,14)	2-41961-2	2-14-73
Davos, Inc.(2,14)	0-4018-2	2-12-73
Decraform, Inc.(13,14)	0-6606-2	2-12-73
Delta Air Lines, Inc(7)	1-5424-2	2-9-73
The Deltona Corp (7,13)	1-4719-2	2-12-73
Dento-Med Industries, Inc.(13)	0-6333-2	2-12-73
Diamond Int'l Corp (8)	1-378-2	2-8-73
Dico Corp (8)	0-1403-2	2-12-73
Di Giorgio Corp (4,8,13,14)	1-1790-2	2-10-73
Digital Paging Systems, Inc.(2,7,14)	2-43474-2	2-12-73
Diners Club, Inc. (3,7,14)	0-5072-2	2-12-73
Walt Disney Productions(7)	1-4083-2	2-12-73
Diversified Financial Corp (12,14)	0-1710-2	2-8-73
Donbar Development Corp (7,14)	0-5946-2	2-13-73
Downe Communications Inc.(3,10,13, 14)	0-3666-2	2-12-73
Drexel Industries, Inc.(7)	0-2824-2	2-13-73



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<u>NAME OF ISSUER</u>	<u>FILE NO.</u>	<u>DATE REC'D</u>
D-Tektamatic Corp (7)	2-45156-2	2-9-73
E-Systems Inc.(1)	1-5237-2	2-12-73
ECC Corporation (1,13)	0-5591-2	2-12-73
EDS Nuclear Inc.(7)	2-46073-2	2-9-73
Echlin Manufacturing Co.(13)	1-4651-2	2-12-73
Ecological Science Corp (2,3,7,13)	1-4847-2	2-14-73
Educating Systems, Inc.(2,7,9,14)	0-4102-2	2-12-73
The Educator & Executive Co.(13)	0-1161-2	2-13-73
Electro/Data Inc.(13)	0-5756-2	2-12-73
Electro-Nucleonics Inc.(13,14)	0-3397-2	2-12-73
Electro-Protective Corporation of America (12,14)	2-44786-2	2-12-73
Elizabethtown Water Co. (13)	0-628-2	2-12-73
El-Tronics, Inc.(3,8,14)	1-3916-2	2-9-73
Emersons, Ltd. (1)	2-42982-2	2-12-73
Empire Fire & Marine Ins Co.(3)	2-43553-2	2-12-73
Energy Ventures, Inc.(13,14)	2-45842-2	2-9-73
Envirodyne Inc.(13)	0-5485-2	2-7-73
Essex International, Inc.(13,14)	1-5013-2	2-8-73
Evans & Mitchell Inds., Inc.(7,14)	0-6546-2	2-12-73
Exxon Corp (13,14)	1-2256-2	2-12-73
FMC Corporation (4,14)	1-2376-2	2-12-73
Fabrics National, Inc.(7,14)	1-5967-2	2-12-73
Faraday, Inc. (6)	0-5462-2	2-12-73
Farrington Mfg. Co. (2,13,14)	0-1530-2	2-12-73
Federal Sign & Signal Corp (10)	1-6003-2	2-12-73
Federated Enterprises Corp (1)	0-1706-2	2-14-73
The Fed-Mart Corp (11)	1-5856-2	2-12-73
Fidelity Corp of Pennsylvania(13)	0-4279-2	2-12-73
Filter Dynamics Intl., Inc.(7,8)	1-5935-2	2-15-73
Financial Federation Inc. (7)	1-4524-2	2-16-73
Financial General Bankshares, Inc. (7,8)	1-3272-2	2-13-73
Financial Resources Group (13)	0-6509-2	2-12-73
Financiera Metropolitana S.A.(7)	2-29641-2	2-12-73
Fingerhut Corp (11)	0-5197-2	2-12-73
Firestone Tire & Rubber Co.(11)	1-484-2	2-12-73
The First Artists Production Co. Ltd. (8)	0-6623-2	2-8-73
First Cheyenne Corp (13)	0-5520-2	2-12-73
First Commerce Corp (8)	0-5494-2	2-12-73



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First Continental Realty Fund, -Ltd. (13)	2-39306-2	2-12-73
First Pennsylvania Corp (7,14)	1-6799-2	2-12-73
First S & L Shares, Inc.(7)	0-5281-2	2-15-73
Flagg Industries, Inc.(7)	1-6440-2	2-12-73
Fleetwood Enterprises Inc.(2)	1-5602-2	2-12-73
Flick-Reedy Corp (13,14)	0-4119-2	2-12-73
Florida Steel Corp (9,11,14)	1-5210-2	2-12-73
Foodarama Supermarkets, Inc.(8,14)	1-5745-2	2-12-73
Ford International Capital Corp(7)	1-5644-2	2-12-73
Ford Motor Credit Co.(3)	1-6368-2	2-12-73
Forest Oil Corp (13,14)	0-4597-2	2-12-73
The Fort Worth National Corp (7)	0-5581-2	2-12-73
Fotomat Corp (3)	0-4916-2	2-15-73
Fresno Guarantee Savings & Loan Assn (13)	0-6243-2	2-12-73
Frontier Airlines, Inc.(3,13)	1-4877-2	2-12-73
GAC Corporation (10)	1-3453-2	2-12-73
Gap Instrument Corp (12,14)	0-2677-2	2-14-73
Gateway Sporting Goods Co.(2,14)	1-4766-2	2-9-73
Gaynor-Stafford Industries Inc. (8,14)	1-6626-2	2-8-73
General Binding Corp (13)	0-2604-2	2-8-73
General Development Corp (7,13,14)	1-4080-2	2-12-73
General Electrodynamics Corp (11)	0-2319-2	2-13-73
General Host Corp (3,8)	1-1066-2	2-12-73
General Motors Corp (13)	1-143-2	2-12-73
Geon Industries, Inc.(14)	1-6687-2	2-12-73
Geriatrics Inc.(13,14)	0-5412-2	2-12-73
Giffen Inds., Inc.(13)	0-4177-2	2-12-73
Glasco Inc.(1,13)	0-6413-2	2-12-73
Glasrock Products Inc.(13)	1-5413-2	2-14-73
P.H. Glatfelter Co.(7,13,14)	1-3560-2	2-8-73
Gorin Stores, Inc.(13)	1-6031-2	2-13-73
W.R. Grace & Co. (7)	1-3720-2	2-12-73
Graniteville Co. (13)	1-4630-2	2-12-73
W.T. Grant Co. (13)	1-3000-2	2-5-73
Great Northern Management Co., Inc. (11)	0-2717-2	2-14-73
Great Northern Nekoosa Corp(7,14)	1-3763-2	2-12-73

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Greer Hydraulics Inc.(11,14)	1-3706-2	2-12-73
Grimm & Davis, Inc.(1,2,8,12,13,14)	2-31728-2	2-12-73
Gulf Life Holding Co.(13)	1-6091-2	2-8-73
Gulf States Utilities Co.(3,13,14)	1-2703-2	2-12-73
Gulf & Western Inds., Inc.(3,8,14)	1-5404-2	2-12-73
HNC Mortgage & Realty Investors(7)	2-41952-2	2-12-73
Ernest W. Hahn, Inc.(2,7,14)	0-6040-2	2-12-73
Halliburton Co. (2,14)	1-3492-2	2-9-73
Hammermill Paper Co. (7)	1-3100-2	2-12-73
Hanna Mining Co. (7)	1-5222-2	2-12-73
Hardwicke Companies Inc.(4,7,14)	0-5288-2	2-5-73
Alfred Hart Co. (13,14)	1-5136-2	2-12-73
Hart Schaffner & Marx (8)	1-3236-2	2-12-73
Hartford National Corp (10)	1-6655-2	2-7-73
Harvest Farms, Inc.(11)	2-42712-2	2-12-73
Hawkeye Bancorporation (7)	0-4742-2	2-12-73
Hawkeye Communications, Inc.(13)	2-39347-2	2-12-73
Hayden Stone Inc. (3)	1-6817-2	2-12-73
Health-Chem Corp (7)	1-6787-2	2-12-73
Health Delivery Systems, Inc.(11,14)	1-6972-2	2-12-73
Heitman Mortgage Investors(7)	1-6634-2	2-12-73
Heritage Bancorporation (13)	0-6152-2	2-12-73
Hess's Inc. (13,14)	0-3759-2	2-12-73
Hillenbrand Inds., Inc.(7,14)	1-6651-2	2-12-73
Don Hirschhorn Inc.(11)	2-43591-2	2-12-73
Horizon Corp (13)	1-6789-2	2-9-73
Horn & Hardart Co. (2,7,14)	1-5066-2	2-12-73
Housing Systems, Inc.(13)	0-6630-2	2-12-73
Houston Complex Inc.(2,7,9,13)	0-4162-2	2-8-73
Harvey Hubbell Inc.(2,10,14)	1-2958-2	2-12-73
Hudson General Corp (9,14)	1-5896-2	2-12-73
Husky Exploration Ltd (4,14)	0-5502-2	2-8-73
Hyatt International Corp (1,9,14)	0-4189-2	2-12-73
Hydraulic Co. (3,10)	0-4831-2	2-12-73
Hydrometals Inc.(11)	1-2837-2	2-1-73
Hydrophilics Int'l Inc.(13,14)	2-40176-2	2-9-73
Hyster Co. (7,14)	0-1383-2	2-12-73
Hytek International Corp (12)	0-5853-2	2-12-73
IEA Corp (2,3,9,13)	0-6111-2	2-12-73
ILC Industries Inc.(13)	2-31063-2	2-14-73

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<u>NAME OF ISSUER</u>	<u>FILE NO.</u>	<u>DATE REC'D</u>
Ideal Basic Industries Inc.(13,14)	1-4070-2	2-12-73
Illinois Central Industries, Inc. (3)	1-4710-2	2-12-73
Imperial Corp of America (13,14)	1-4215-2	2-12-73
Inland Credit Corp (1)	1-4390-2	2-8-73
Inflight Motion Pictures Inc.(11)	1-5245-2	2-12-73
Integrated Resources, Inc.(13)	1-7030-2	2-12-73
Intercontinental Trailsea Corp (7,14)	2-35209-2	2-12-73
Inter-Island Mortgages Corp(3,13)	0-5774-2	2-12-73
International Recreation Corp (13)	0-5343-2	2-12-73
International Telephone & Telegraph Corp (14)	1-5627-2	2-12-73
Investment Corp of Florida (13)	1-6835-2	2-13-73
Investors Funding Corp of NY (7,12)	1-4600-2	2-12-73
Ion Equipment Corp (1)	0-6126-2	2-12-73
Ionics Inc.(3)	0-630-2	2-12-73
Iowa Electric Light & Power Co. (7,14)	1-4117-2	2-9-73
Iowa Power & Light Co. (13,14)	1-3567-2	2-12-73
Iowa Southern Utilities Co. (14)	0-849-2	2-12-73
Iroquois Industries, Inc.(3)	1-5387-2	2-12-73
Ivac Corp (3)	0-5275-2	2-12-73
F.L. Jacobs Co. (11)	0-3139-2	2-12-73
Jacobson Stores, Inc.(4)	0-6319-2	2-9-73
Jervis Corp (3)	1-5073-2	2-12-73
Jet Air Freight (2,14)	0-3025-2	2-12-73
Jetronic Industries Inc.(2,7,14)	1-4124-2	2-9-73
Jewelcor Inc.(13)	1-6745-2	2-13-73
Kar Products Inc.(13,14)	2-41502-2	2-12-73
Kaiser Aluminum & Chemical Corp (3,14)	1-3605-2	2-12-73
Kaiser Cement & Gypsum Corp (7,14)	1-4598-2	2-12-73
Kaiser Industries Corp (3,14)	1-3340-2	2-12-73
Kaiser Steel Corp (7,8,10)	0-433-2	2-12-73
Keebler Co. (13)	1-2336-2	2-8-73
Keene Corporation (4,7,9,13,14)	1-6911-2	2-12-73
Knickerbocker Toy Co., Inc.(4,7,11,14)	1-7028-2	2-7-73
Koppers Co., Inc.(8)	1-3224-2	2-12-73
LFE Corporation (4,7,14)	1-4571-2	2-12-73
LSB Industries, Inc.(7,10)	1-6137-2	2-8-73

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Landco Inc. (11)	0-4263-2	2-12-73
Leadville Corp (7,13)	0-1519-2	2-9-73
Leasco Financial Services Corp(7,8)	1-7080-2	2-12-73
Leeds Shoes Inc.(3,6,7,8)	0-2216-2	2-12-73
Leggett & Platt Inc.(7)	0-3087-2	2-12-73
Lehigh Coal & Navigation Co.(6,7)	0-1760-2	2-12-73
Lehigh Valley Inds. Inc. (13)	1-155-2	2-12-73
Levingston Shipbuilding Co.(7,8,9)	0-2891-2	2-12-73
Lincoln American Corp (3)	1-4730-2	2-12-73
Lockheed Aircraft Corp (7)	1-2193-2	2-12-73
Louisiana-Pacific Resources Inc. (1,4,7,9,13,14)	1-98-2	2-12-73
Lydall Inc.(10)	0-1137-2	2-12-73
Lynch Communications Systems, Inc. (13,14)	1-6794-2	2-12-73
Lynch Corp (2,10,14)	1-106-2	2-12-73
Mack Financial Corp (7,14)	1-6294-2	2-8-73
MacMillan Inc. (8)	1-3911-2	2-9-73
Madison Square Garden Corp (3)	1-1144-2	2-12-73
Mammoth Mart Inc. (7,8,14)	1-6027-2	2-12-73
Mangel Stores Corp (13,14)	1-1118-2	2-12-73
Marathon Mfg. Co. (8)	1-6617-2	2-12-73
Maremont Corp (3)	1-4232-2	2-13-73
Marine International Corp (6)	2-39727-2	2-12-73
Maritime Fruit Carriers Co., Ltd. (2,4,14)	0-2690-2	2-12-73
Mark IV Homes, Inc.(13)	0-6403-2	2-12-73
Marquette Cement Mfg. Co.(13)	1-3780-2	2-12-73
McCrory Corp (3,7,8)	1-2759-2	2-12-73
Medallion Leisure Corp (13)	1-7027-2	2-12-73
Medequip Corp (13,14)	0-4688-2	2-12-73
Media Horizons Inc.(9,13)	0-6165-2	2-12-73
Medline Inds., Inc.(12)	2-44427-2	2-12-73
Mego International, Inc.(3)	1-6573-2	2-12-73
Metrocare Inc.(2,7,10,14)	1-6656-2	2-12-73
Mid-America Industries Inc.(2,13,14)	2-43508-2	2-12-73
Miller Oil Co. (13)	0-6694-2	2-12-73
Mobile Home Communities (13,14)	0-5308-2	2-12-73
Mobile Waste Controls Inc.(13)	0-4313-2	2-12-73
Monogram Industries Inc.(13)	1-6174-2	2-12-73

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Montgomery Ward Credit Corp(13)	1-4326-2	2-12-73
Moog Inc. (11,14)	1-5129-2	2-9-73
Mostek Corp (7,8)	2-43416-2	2-12-73
Murphy Oil Corp (7,8)	1-4857-2	2-12-73
Mystic Valley Gas Co.(11,14)	2-10637-2	2-12-73
NVF Company (7,8)	1-3290-2	2-12-73
National Community Builders (3,7)	0-6227-2	2-12-73
National Distributing Co., Inc. (13,14)	1-7095-2	2-9-73
National General Corp (1,3,7,8)	1-3675-2	2-12-73
National Rural Utilities Cooperative (7,13)	1-7102-2	1-30-73
Nationwide Inds., Inc.(7)	0-3626-2	2-13-73
Nationwide Nursing Centers, Inc.(3)	0-4370-2	2-12-73
Natural Gas Pipeline Co. of America (13)	1-6559-2	2-12-73
Natural Resources Fund (1970)(3)	2-36792-2	2-8-73
Natural Resources Fund, Inc.(3)	2-33470-2	1-29-73
Niagara Frontier Services Inc. (8,13)	1-5915-2	2-9-73
Nolex Corp (7,9,14)	2-45852-2	2-12-73
North Shore Gas Co. (13)	2-35965-2	2-12-73
North Shore Gas Co. (11,14)	2-12013-2	2-12-73
Northern Indiana Public Service Co. (3,7,13)	1-4125-2	2-12-73
Northern States Financial Corp(13)	0-6886-2	2-12-73
Northwest Inds., Inc.(8)	1-5509-2	2-12-73
Nuclear Data Inc.(2,10,14)	1-5779-2	2-12-73
Numerax Inc.(11)	2-3503-2	2-5-73
Oceanography International(7)	0-6511-2	2-12-73
Oceanography Mariculture Inds.,Inc. (1,13)	0-5728-2	2-12-73
Old Stone Mortgage & Realty Trust (7)	0-5398-2	2-12-73
Omark Inds., Inc.(13)	1-5385-2	2-12-73
Omega-Alpha Inc.(8,10)	1-6449-2	2-9-73
Optical Scanning Corp (11,14)	0-2262-2	2-9-73
Ovitron Corp (3,12,14)	0-3128-2	2-12-73
Owens-Corning Fiberglas Corp (3)	1-3660-2	2-12-73
Pacific Coast Medical Enterprises (3)	0-5814-2	2-12-73

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Pacific United Services Corp (1,2)	0-2358-2	2-13-73
Pakco Companies, Inc.(3,14)	0-592-2	2-12-73
Park Investment Co.(7,14)	0-5069-2	2-12-73
Pasco, Inc.(1)	1-4319-2	2-12-73
Paterson Parchment Paper Co.(13)	1-5295-2	2-12-73
Pawnee Corp (13)	0-4273-2	2-9-73
Pend Oreille Mines & Metals Co. (4,14)	1-6286-2	2-12-73
Pennsylvania Co. (6,8)	1-4954-2	2-12-73
Pennsylvania Electric Co. (11,14)	1-3522-2	2-12-73
Pennsylvania Power & Light Co.(7,14)	1-905-2	2-7-73
Peoples Gas Co. (7,11,13)	1-5540-2	2-12-73
Peoples Gas Light & Coke Co. (13)	2-26983-2	2-12-73
Petro-Lewis Fund, Inc. (13)	2-41365-2	2-12-73
Philadelphia Suburban Corp (7,14)	1-6659-2	2-12-73
Phillips Screw Co. (2,4,7,9,11,12,13)	1-3569-2	2-12-73
Phoenix Candy Co., Inc.(3)	2-43352-2	2-12-73
Pioneer Natural Gas Co. (7)	1-5917-2	2-13-73
Pittsburgh National Corp (7)	0-4610-2	2-12-73
Planning Research Corp (10)	1-4941-2	2-12-73
Presidential Realty Corp (4,7,14)	1-4503-2	2-12-73
Primary Medical Communications, Inc. (13,14)	0-6613-2	2-12-73
Princeton Chemical Research Inc. (10)	0-3127-2	2-12-73
Project 7, Inc. (7,14)	2-33586-2	2-12-73
Providence Gas Co. (7,14)	0-1160-2	2-5-73
Prudent Real Estate Trust (8)	1-5536-2	2-12-73
Puget Sound Power & Light Co.(3)	1-4393-2	2-12-73
Quantor Corp (7,13)	0-5241-2	2-12-73
Radiant Industries, Inc.(7,9,14)	0-4424-2	2-12-73
Ragen Precision Inds., Inc.(11,14)	0-2974-2	2-12-73
Rapid American Corp (2,7,8,9,14)	1-5448-2	2-12-73
Recognition Equipment Inc.(8,10)	0-2038-2	2-12-73
Redwood Bancorp (14)	0-6501-2	2-12-73
Reed Tool Co. (8)	1-1974-2	2-12-73
Rembrandt Enterprises, Inc.(13)	0-4199-2	2-12-73
Republic Corp (1)	1-5603-2	2-12-73
Republic Housing Corp (7,14)	0-3297-2	2-12-73
Residex Corp (7)	0-2318-2	2-12-73

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Rex-Noreco, Inc.(7)	1-6144-2	2-12-73
Richmond Corporation (3,14)	0-5840-2	2-12-73
Rocket Research Corp (7,10)	0-2412-2	2-13-73
Rockwell Mfg. Co. (10,13)	1-5726-2	2-13-73
Ross Aviation Inc.(3)	0-5093-2	2-12-73
Rossmoor Corp (3)	1-6823-2	2-12-73
Rothmoor Corp (8,13,14)	0-2439-2	2-12-73
Rotodyne Inc.(12)	1-5648-2	2-12-73
The Rouse Co. (3,7,13)	0-1743-2	2-12-73
Rowan Companies, Inc.(4,7,11,14)	1-5391-2	2-12-73
Rowe Furniture Corp (13)	0-232-2	2-12-73
Royal Inns of America, Inc.(2,14)	1-6480-2	2-12-73
Rush Industries, Inc.(13,14)	0-2162-2	2-12-73
Ryder System, Inc.(7,13,14)	1-4364-2	2-12-73
Sav-A-Stop, Inc.(11)	1-5476-2	2-12-73
Scherr-Tumico Inc.(1,6,9,11,13,14)	0-2366-2	2-12-73
Scheutzw Helicopter Corp (9,11)	0-6195-2	2-12-73
Scotty's Home Builders Supply Inc. (7)	1-6189-2	2-12-73
Seacrest Inds. Corp (7,11,14)	0-2252-2	2-13-73
Seaway Food Town Inc.(11)	0-80-2	2-12-73
Seismic Computing Corp (11)	0-3399-2	2-12-73
Service Merchandise Co., Inc.(2)	0-6269-2	2-12-73
Service Producing Co., Inc.(13)	0-5951-2	2-12-73
Service Inc.(2)	0-1082-2	2-12-73
Shareholders Capital Corp (7,9,14)	0-3597-2	2-13-73
Shaw-Barton, Inc.(10,14)	0-1362-2	2-12-73
Sheller-Globe Corp (11,14)	1-4172-2	2-12-73
Shoney's Big Boy Enterprises, Inc. (2,7)	0-4377-2	2-12-73
Showboat Inc.(13)	1-7123-2	2-10-73
Henry I. Siegel Co., Inc.(13)	1-4885-2	2-12-73
Southern California Edison Co.(14)	1-2313-2	2-12-73
The Southern Company (3)	1-3526-2	2-12-73
Southern Natural Gas Co.(13,14)	1-2745-2	2-12-73
Southern Pacific Transportation Co. (3,7)	1-6146-2	2-12-73
Southwest Forest Inds., Inc.(10,14)	1-5763-2	2-12-73
Southwestern Group Investors, Inc. (7,13)	0-6082-2	2-12-73



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Spectra Physics, Inc.(3,13,14)	0-4307-2	2-12-73
Standard Oil Co. of Calif(3)	1-368-2	2-9-73
Stanadyne, Inc.(7,14)	0-3963-2	2-9-73
Levi Strauss;& Co. (3,12,14)	1-6631-2	2-12-73
Suburban Broadcasting Corp (2,7,14)	2-45335-2	2-12-73
Suitomat Corp (13,14)	2-30549-2	2-12-73
Sunamerica Corp (7,14)	2-36474-2	2-12-73
Surg-O-Flex of America, Inc.(1)	2-42187-2	2-13-73
Susquehanna Corp (6)	1-5515-2	2-13-73
Tampa Electric Co. (13)	1-5007-2	2-12-73
Tech Serv Inc.(2,7,14)	0-4074-2	2-12-73
Telecredit, Inc.(13)	0-3934-2	2-12-73
Teledyne Inc.(8,14)	1-5212-2	2-12-73
Telesciences, Inc.(7,13)	2-31676-2	2-12-73
Teletrans Inds., Inc.(3)	1-6486-2	2-12-73
Templet Industries, Inc.(2,7,14)	0-4297-2	2-13-73
Terminal Data Corp (2,7,11,14)	0-5137-2	2-12-73
Texas Commerce Bancshares, Inc. (2,7,8,14)	0-5897-2	2-13-73
Tinsley Laboratories, Inc.(13,14)	0-3063-2	1-29-73
Titan Group, Inc.(13)	0-594-2	2-12-73
Topsy's International Inc.(8)	0-3324-2	2-12-73
Toro Co. (7,14)	0-20-2	1-29-73
Tower Industries, Inc. (13)	0-5311-2	2-12-73
Tracy-Locke Co., Inc.(7,14)	0-6591-2	2-9-73
Transworld Airlines, Inc.(7)	1-975-2	2-13-73
TransNet Corp (11,13,14)	2-42279-2	2-8-73
Travelers Corp (14)	1-5799-2	2-8-73
Travelodge International Inc.(14)	1-6558-2	2-6-73
Tyler Corp (7,8,13,14)	1-5409-2	2-12-73



## 8K REPORTS FOR JANUARY 1973 CONT'D

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<u>NAME OF ISSUER</u>	<u>FILE NO.</u>	<u>DATE REC'D</u>
UB Financial Corp (3)	0-5475-2	2-8-73
Unifi Inc.(13,14)	2-34807-2	2-12-73
Unimet Corp(13,14)	0-6030-2	2-12-73
Union Electric Co. (3)	1-2967-2	2-12-73
Universal Metals & Machinery Inc. (2,14)	0-6695-2	2-13-73
U.S. Bancorp Realty & Mortgage Trust (7)	1-7043-2	2-12-73
United States Banknote Corp (10)	0-1630-2	2-12-73
University Computing Co.(7,8,14)	1-7001-2	2-14-73
Utah International Finance Corp (7,14)	1-5873-2	2-12-73
Vail Associates Inc.(2)	0-2984-2	2-12-73
Varian Associates (7,8)	1-4213-2	2-13-73
Vesely Co. (4)	1-6025-2	2-12-73
Vulcan Materials Co. (13,14)	1-4033-2	2-12-73
Wabash International Corp (2,14)	0-3068-2	2-12-73
Wagner Electric Corp (8)	1-5434-2	2-13-73
Wainoco Oil Ltd. (2)	1-7085-2	2-12-73
Warnaco Inc.(7,8,9,14)	1-4715-2	2-12-73
H. Warshow & Sons Inc.(14)	0-1823-2	2-12-73
Weatherby/Nasco Inc.(3,12)	0-3850-2	2-12-73
Webb Resources Inc.(2,14)	0-1945-2	2-12-73
Weingarten Markets Realty Co.(7,14)	2-14373-2	2-12-73
Wesco Financial Corp (3,13,14)	1-4720-2	2-13-73
The Westminster Corp (13)	0-3335-2	2-12-73
Winnebago Inds., Inc.(3)	1-6403-2	2-12-73
Dean Witter & Co., Inc.(3)	1-6874-2	2-9-73
Daniel Woodhead, Inc.(11,14)	0-5971-2	2-12-73
The Woodmoor Corp (13)	2-42019-2	2-13-73
Worthington Biochemical Corp(11)	0-6513-2	2-8-73
Wrather Corp (3,9,13,14)	1-6172-2	2-12-73
The Writer Corp (3)	2-42409-2	2-12-73
Wyoming Bancorporation(7,9,14)	2-39810-2	2-12-73
Zoecon Corp (2,14)	1-6044-2	2-13-73

MISCELLANEOUS 8K REPORTS

<u>NAME OF ISSUER</u>	<u>FILE NO.</u>	<u>DATE REC'D</u>
Aadan Corp Dec 72(2,11,14)	0-6736-2	1-16-73
American Book Stratford Press, Inc. Feb 73(6)	1-4701-2	2-12-73
American Investment Co. Feb 73 (13,14)	1-2335-2	2-12-73
Applied Fluidics Inc. Oct 72(3)	2-33867-2	1-8-73
Bio-Medicus, Inc. Dec 72(3,14)	0-6446-2	2-16-73
C. Brewer & Co. Ltd. Dec 72(3)	1-4519-2	1-29-73
Deseret Pharmaceutical Co., Inc. (11)	1-5322-2	1-10-73
Dillon Companies Inc. Dec 72(1,14)	1-5821-2	1-14-73
Diners Club, Inc.(2,3,4,6,7)	0-5072-2	1-12-73
Elco Corp Feb 73(13)	1-6896-2	2-12-73
Glasco, Inc. Sep 72(1,7)	0-6413-2	2-12-73
Oct 72(1)	0-6413-2	2-12-73
HNC Mortgage & Realty Investors Jan 72, Apr 72, Jun 72, Jul 72 & Oct 72(7)	2-41952-2	1-30-73
Hinsdale Raceway, Inc. Dec 72(13)	0-1342-2	2-9-73
Houston Complex, Inc. Dec 72(13)	0-4162-2	1-10-73
Mansion Industries, Inc. Dec 72 (11,14)	0-6595-2	1-8-73
Marine Resources Inc. Oct 72(13)	0-4296-2	11-13-72
Meisel Photochrome Corp Nov 72(13)	0-5050-2	2-12-73
Northern States Financial Corp Dec 72(2,3,4,7,14)	0-6886-2	1-11-73
Old Town Corp Oct 72(3,9,13)	1-3601-2	12-4-72
Nov 72(3,13)	1-3601-2	12-4-72
Orrox Corp Feb 73(2,14)	2-40473-2	2-12-73
Overmyer Corp Feb 73(14)	0-5414-2	2-9-73
Prudential Funds, Inc.(Oxford Development Fund) Dec 72(7,14)	2-41180-2	1-15-73
Peachtree Doors Inc. Dec 72(7,14)	0-6118-2	1-8-73
Share Partners, Ltd. - 1972 Feb 73(11,14)	2-43225-2	1-12-73
Sherburne Corp Nov 72(11,14)	0-2918-2	2-2-73
TST Communications, Inc. Oct 72(13)	0-4316-2	1-2-73
Jun 72(13)	0-4316-2	1-2-73
Trans Delta Corp Feb 73(2,14)	0-4347-2	2-9-73
Trans World Airlines, Inc. Nov 72(7)	1-975-2	12-11-72
Dec 72(7)	1-975-2	1-12-73

AMENDED 8K REPORTS

<u>NAME OF ISSUER</u>	<u>FILE NO.</u>	<u>DATE REC'D</u>
Bio-Medical Sciences, Inc.		
#1 for Oct 72(9)	0-5613-2	2-12-73
Del Mar Petroleum Inc.		
#1 for Nov 72(7,14)	0-4110-2	2-8-73
Florida Power & Light Co.		
#1 for Jan 73(13)	1-3545-2	2-12-73
Gateway Sporting Goods Co.		
#1 for Nov 72(2)	1-4766-2	2-9-73
Independence National Corporation		
#1 for Jul 72(1,14)	0-4858-2	2-5-73
Multimedia, Inc.		
#1 for Oct 72(4,7,13,14)	0-6265-2	2-12-73
Silver Bell Industries Inc.		
#1 for Nov 72(14)	0-5054-2	2-14-73
Dean Witter & Co., Inc.		
#1 for Mar 72(3,13,14)	1-6874-2	2-9-73

MARKET VALUE AND VOLUME OF SALES ON UNITED STATES SECURITIES EXCHANGES  
(ALL DATA ARE IN THOUSANDS)

	TOTAL MARKET \$VALUE	STOCKS			BONDS			WARRANTS			RIGHTS		
		\$VALUE	SHARES	\$MARKET	PRINCIPAL	\$VALUE	UNITS	\$VALUE	UNITS	\$VALUE	UNITS	\$VALUE	UNITS
1972 JANUARY	17,995,392	16,872,256	547,112	963,656	1,011,886	159,293	14,870	199	136				
1972 FEBRUARY	19,569,696	18,549,216	609,276	862,432	903,784	156,082	14,833	1,073	10,234				
1972 MARCH	22,583,904	21,412,624	661,128	975,828	1,013,725	194,424	16,927	1,929	792				
1972 APRIL	19,456,128	18,448,144	583,966	837,586	859,852	169,326	13,830	1,078	724				
1972 MAY	17,989,920	17,093,200	506,609	775,979	807,230	117,646	10,999	3,091	14,226				
1972 JUNE	17,668,368	16,740,458	506,494	799,201	840,740	126,770	11,685	1,949	8,905				
1972 JULY	14,637,541	13,914,609	427,005	632,674	679,822	85,778	9,282	4,480	8,768				
1972 AUGUST	18,419,872	17,596,016	525,595	723,487	775,829	99,191	10,300	1,182	3,918				
1972 SEPTEMBER	12,783,353	12,182,759	366,792	525,258	580,918	70,374	7,948	4,962	10,911				
1972 OCTOBER	15,561,873	14,809,930	461,370	676,383	747,693	74,992	8,618	569	2,811				
1972 NOVEMBER	19,600,944	18,540,000	556,304	935,611	989,329	124,332	12,816	997	654				
1972 DECEMBER	18,792,704	17,856,240	547,422	807,450	866,542	128,541	13,745	471	109				
1973 JANUARY	19,913,024	18,925,968	562,683	841,652	964,628	144,884	15,541	510	4,447				

BREAKDOWN FOR JANUARY, 1973

* AMERICAN STOCK EXCHANGE	1,527,025	1,384,442	84,692	51,270	108,442	91,313	10,051	0	0				
* BOSTON STOCK EXCHANGE	160,505	160,487	3,744	0	0	18	1	0	0				
* CHICAGO BOARD OF TRADE	0	0	0	0	0	0	0	0	0				
* CINCINNATI STOCK EXCHANGE	13,289	13,289	279	0	0	0	0	0	0				
* DETROIT STOCK EXCHANGE	38,337	38,337	940	0	0	0	0	0	0				
* MIDWEST STOCK EXCHANGE	772,937	772,533	22,127	157	299	90	11	157	241				
* NATIONAL STOCK EXCHANGE	5,467	5,446	1,150	16	35	4	2	0	0				
* NEW YORK STOCK EXCHANGE	16,241,765	15,407,165	414,270	786,180	837,907	48,096	4,464	324	3,918				
* PACIFIC COAST STOCK EXCHANGE	712,930	704,724	21,579	3,551	5,467	4,627	899	28	287				
* PHILA.-BALT.-WASH. STOCK EXCHANGE	440,025	438,912	12,631	477	12,477	736	113	+	1				
* INTERMOUNTAIN STOCK EXCHANGE	193	193	200	0	0	0	0	0	0				
* SPOKANE STOCK EXCHANGE	550	550	1,070	0	0	0	0	0	0				

MARKET VALUE AND VOLUME OF SALES ON ALL EXEMPTED SECURITIES EXCHANGES  
(ALL DATA ARE IN THOUSANDS)

1973 JANUARY	315	315	59	0	0	0	0	0	0				
BREAKDOWN FOR JANUARY, 1973													
HONOLULU STOCK EXCHANGE	315	315	59	0	0	0	0	0	0				

NA = NOT AVAILABLE

R = REVISION OF PREVIOUSLY PUBLISHED DATA

+ = GREATER THAN ZERO BUT LESS THAN 500

SEE EXPLANATORY NOTES ON PAGE 12

STOCK MARKET INDICATORS COVERING THE MONTH OF FEBRUARY, 1973  
(DAILY INDEXES ARE AT CLOSE)

NUMBER OF TRADING DAYS THIS MONTH = 19

DATE	DAY	NEW YORK STOCK EXCHANGE										AMERICAN STOCK EXCHANGE										OVER THE COUNTER									
		STANDARD & POOR					N.Y.S.E.					DOM JONES					PRICE CHANGE					NASDAQ					SHARE VOLUME				
		500	425	COMP.	IND.	IND.	COMP.	IND.	IND.	IND.	IND.	COMP.	IND.	IND.	IND.	IND.	COMP.	IND.	IND.	IND.	IND.	COMP.	IND.	IND.	IND.	COMP.	IND.	IND.	IND.	IND.	IND.
1	THURSDAY	114.76	128.48	62.04	68.10	67.84	68.04	68.10	68.10	68.10	68.10	68.10	68.10	68.10	68.10	68.10	25.66	25.66	25.66	25.66	25.66	126.94	125.76	125.16	125.16	126.94	125.76	125.16	125.16	125.16	125.16
2	FRIDAY	114.35	128.02	61.79	67.84	67.84	68.04	68.10	68.10	68.10	68.10	68.10	68.10	68.10	68.10	68.10	25.66	25.66	25.66	25.66	25.66	126.94	125.76	125.16	125.16	126.94	125.76	125.16	125.16	125.16	125.16
5	MONDAY	114.23	127.88	61.68	67.75	67.75	68.04	68.10	68.10	68.10	68.10	68.10	68.10	68.10	68.10	68.10	25.66	25.66	25.66	25.66	25.66	126.94	125.76	125.16	125.16	126.94	125.76	125.16	125.16	125.16	125.16
6	TUESDAY	114.45	128.17	61.80	67.92	67.92	68.04	68.10	68.10	68.10	68.10	68.10	68.10	68.10	68.10	68.10	25.66	25.66	25.66	25.66	25.66	126.94	125.76	125.16	125.16	126.94	125.76	125.16	125.16	125.16	125.16
7	WEDNESDAY	113.66	127.23	61.37	67.45	67.45	68.04	68.10	68.10	68.10	68.10	68.10	68.10	68.10	68.10	68.10	25.66	25.66	25.66	25.66	25.66	126.94	125.76	125.16	125.16	126.94	125.76	125.16	125.16	125.16	125.16
8	THURSDAY	113.16	126.67	61.10	67.21	67.21	68.04	68.10	68.10	68.10	68.10	68.10	68.10	68.10	68.10	68.10	25.66	25.66	25.66	25.66	25.66	126.94	125.76	125.16	125.16	126.94	125.76	125.16	125.16	125.16	125.16
9	FRIDAY	114.68	128.47	61.90	68.16	68.16	68.04	68.10	68.10	68.10	68.10	68.10	68.10	68.10	68.10	68.10	25.66	25.66	25.66	25.66	25.66	126.94	125.76	125.16	125.16	126.94	125.76	125.16	125.16	125.16	125.16
12	MONDAY	116.06	130.08	62.58	68.94	68.94	68.04	68.10	68.10	68.10	68.10	68.10	68.10	68.10	68.10	68.10	25.66	25.66	25.66	25.66	25.66	126.94	125.76	125.16	125.16	126.94	125.76	125.16	125.16	125.16	125.16
13	TUESDAY	116.78	130.95	62.92	69.33	69.33	68.04	68.10	68.10	68.10	68.10	68.10	68.10	68.10	68.10	68.10	25.66	25.66	25.66	25.66	25.66	126.94	125.76	125.16	125.16	126.94	125.76	125.16	125.16	125.16	125.16
14	WEDNESDAY	115.10	128.97	62.05	68.29	68.29	68.04	68.10	68.10	68.10	68.10	68.10	68.10	68.10	68.10	68.10	25.66	25.66	25.66	25.66	25.66	126.94	125.76	125.16	125.16	126.94	125.76	125.16	125.16	125.16	125.16
15	THURSDAY	114.45	128.20	61.66	67.86	67.86	68.04	68.10	68.10	68.10	68.10	68.10	68.10	68.10	68.10	68.10	25.66	25.66	25.66	25.66	25.66	126.94	125.76	125.16	125.16	126.94	125.76	125.16	125.16	125.16	125.16
16	FRIDAY	114.98	128.84	61.93	68.17	68.17	68.04	68.10	68.10	68.10	68.10	68.10	68.10	68.10	68.10	68.10	25.66	25.66	25.66	25.66	25.66	126.94	125.76	125.16	125.16	126.94	125.76	125.16	125.16	125.16	125.16
19	MONDAY	115.40	129.35	62.10	68.43	68.43	68.04	68.10	68.10	68.10	68.10	68.10	68.10	68.10	68.10	68.10	25.66	25.66	25.66	25.66	25.66	126.94	125.76	125.16	125.16	126.94	125.76	125.16	125.16	125.16	125.16
20	TUESDAY	115.40	129.35	62.10	68.43	68.43	68.04	68.10	68.10	68.10	68.10	68.10	68.10	68.10	68.10	68.10	25.66	25.66	25.66	25.66	25.66	126.94	125.76	125.16	125.16	126.94	125.76	125.16	125.16	125.16	125.16
21	WEDNESDAY	114.69	128.50	61.69	67.93	67.93	68.04	68.10	68.10	68.10	68.10	68.10	68.10	68.10	68.10	68.10	25.66	25.66	25.66	25.66	25.66	126.94	125.76	125.16	125.16	126.94	125.76	125.16	125.16	125.16	125.16
22	THURSDAY	114.44	128.22	61.51	67.71	67.71	68.04	68.10	68.10	68.10	68.10	68.10	68.10	68.10	68.10	68.10	25.66	25.66	25.66	25.66	25.66	126.94	125.76	125.16	125.16	126.94	125.76	125.16	125.16	125.16	125.16
23	FRIDAY	113.16	126.72	60.82	66.87	66.87	68.04	68.10	68.10	68.10	68.10	68.10	68.10	68.10	68.10	68.10	25.66	25.66	25.66	25.66	25.66	126.94	125.76	125.16	125.16	126.94	125.76	125.16	125.16	125.16	125.16
26	MONDAY	112.19	125.62	60.29	66.26	66.26	68.04	68.10	68.10	68.10	68.10	68.10	68.10	68.10	68.10	68.10	25.66	25.66	25.66	25.66	25.66	126.94	125.76	125.16	125.16	126.94	125.76	125.16	125.16	125.16	125.16
27	TUESDAY	110.90	124.15	59.61	65.47	65.47	68.04	68.10	68.10	68.10	68.10	68.10	68.10	68.10	68.10	68.10	25.66	25.66	25.66	25.66	25.66	126.94	125.76	125.16	125.16	126.94	125.76	125.16	125.16	125.16	125.16
28	WEDNESDAY	111.68	125.09	60.00	65.98	65.98	68.04	68.10	68.10	68.10	68.10	68.10	68.10	68.10	68.10	68.10	25.66	25.66	25.66	25.66	25.66	126.94	125.76	125.16	125.16	126.94	125.76	125.16	125.16	125.16	125.16
MONTHLY AVERAGES																															
1973	FEBRUARY	114.16	127.87	61.52	67.67	67.67	68.04	68.10	68.10	68.10	68.10	68.10	68.10	68.10	68.10	68.10	25.34	25.34	25.34	25.34	25.34	124.50	122.82	122.82	122.82	124.50	122.82	122.82	122.82	122.82	122.82
1972	FEBRUARY	105.24	116.86	58.45	63.36	63.36	68.04	68.10	68.10	68.10	68.10	68.10	68.10	68.10	68.10	68.10	27.51	27.51	27.51	27.51	27.51	122.66	130.70	130.70	130.70	122.66	130.70	130.70	130.70	130.70	130.70
1972	MARCH	107.69	119.73	59.96	65.17	65.17	68.04	68.10	68.10	68.10	68.10	68.10	68.10	68.10	68.10	68.10	28.02	28.02	28.02	28.02	28.02	127.55	136.51	136.51	136.51	127.55	136.51	136.51	136.51	136.51	136.51
1972	APRIL	108.81	121.29	60.65	66.00	66.00	68.04	68.10	68.10	68.10	68.10	68.10	68.10	68.10	68.10	68.10	28.24	28.24	28.24	28.24	28.24	131.81	140.81	140.81	140.81	131.81	140.81	140.81	140.81	140.81	140.81
1972	MAY	107.63	120.16	59.82	65.30	65.30	68.04	68.10	68.10	68.10	68.10	68.10	68.10	68.10	68.10	68.10	27.47	27.47	27.47	27.47	27.47	130.49	138.97	138.97	138.97	130.49	138.97	138.97	138.97	138.97	138.97
1972	JUNE	107.93	120.84	59.87	65.76	65.76	68.04	68.10	68.10	68.10	68.10	68.10	68.10	68.10	68.10	68.10	27.47	27.47	27.47	27.47	27.47	130.49	138.97	138.97	138.97	130.49	138.97	138.97	138.97	138.97	138.97
1972	JULY	107.22	119.98	59.21	65.13	65.13	68.04	68.10	68.10	68.10	68.10	68.10	68.10	68.10	68.10	68.10	26.97	26.97	26.97	26.97	26.97	129.18	136.92	136.92	136.92	129.18	136.92	136.92	136.92	136.92	136.92
1972	AUGUST	111.01	124.35	61.07	67.25	67.25	68.04	68.10	68.10	68.10	68.10	68.10	68.10	68.10	68.10	68.10	26.85	26.85	26.85	26.85	26.85	130.74	135.61	135.61	135.61	130.74	135.61	135.61	135.61	135.61	135.61
1972	SEPTEMBER	109.40	122.33	60.05	65.72	65.72	68.04	68.10	68.10	68.10	68.10	68.10	68.10	68.10	68.10	68.10	26.23	26.23	26.23	26.23	26.23	128.28	129.34	129.34	129.34	128.28	129.34	129.34	129.34	129.34	129.34
1972	OCTOBER	109.60	122.39	59.99	65.15	65.15	68.04	68.10	68.10	68.10	68.10	68.10	68.10	68.10	68.10	68.10	25.87	25.87	25.87	25.87	25.87	128.39	126.75	126.75	126.75	128.39	126.75	126.75	126.75	126.75	126.75
1972	NOVEMBER	115.05	128.29	62.99	68.29	68.29	68.04	68.10	68.10	68.10	68.10	68.10	68.10	68.10	68.10	68.10	26.18	26.18	26.18	26.18	26.18	132.66	129.18	129.18	129.18	132.66	129.18	129.18	129.18	129.18	129.18
1972	DECEMBER	117.50	131.08	64.26	69.96	69.96	68.04	68.10	68.10	68.10	68.10	68.10	68.10	68.10	68.10	68.10	26.50	26.50	26.50	26.50	26.50	133.36	131.64	131.64	131.64	133.36	131.64	131.64	131.64	131.64	131.64
1973	JANUARY	118.42	132.55	64.42	70.57	70.57	68.04	68.10	68.10	68.10	68.10	68.10	68.10	68.10	68.10	68.10	26.35	26.35	26.35	26.35	26.35	133.53	132.75	132.75	132.75	133.53	132.75	132.75	132.75	132.75	132.75

## EFFECTIVE REGISTRATIONS UNDER THE SECURITIES ACT OF 1933

(Amounts in Thousands of Dollars)

	1971	1972	1972		1973
			January	December	January
EFFECTIVE REGISTRATIONS . . . .	3,373	3,578	214	255	252
TOTAL DOLLAR AMOUNT . . . . .	70,869	63,937	3,995	4,897	4,560

	Number of Issues	Amount	Number of Issues	Amount	Number of Issues	Amount	Number of Issues	Amount	Number of Issues	Amount
PRIMARY CORPORATE OFFERINGS	1,732	36,282	1,779	28,555	124	2,035	114	1,574	108	2,015
Bonds, notes and debentures . . . .	537	23,329	417	16,959	40	1,402	20	865	27	982
Preferred stock . . . . .	83	3,569	83	2,416	1	10	9	253	6	129
Common stock . . . . .	886	7,091	1,020	6,795	73	445	65	275	63	748
Unseasoned . . . . .	468	1,246	633	1,690	49	100	48	108	43	294
Seasoned . . . . .	418	5,845	387	5,105	24	345	17	167	20	454
Other Equity Securities . . . . .	226	2,292	259	2,385	10	178	20	181	12	156
SECONDARY OFFERINGS	1,037	6,025	1,120	7,325	59	215	82	879	62	363
Cash sale . . . . .	518	3,256	627	5,089	32	133	40	532	24	217
Other than cash sale . . . . .	519	2,769	493	2,236	27	82	42	347	38	146

	Amount				
FOR EXTENDED CASH SALE AND OTHER ISSUES . . . . .	17,035	19,927	1,113	1,219	1,312
Investment company issues . . . . .	10,630	13,354	739	876	874
Employee savings and thrift plans . . . . .	1,234	2,413	201	143	30
Employee stock option plans . . . . .	3,714	3,649	171	142	209
Other employee plans . . . . .	1,456	511	4	58	187
Dividend Reinvestment Plans . . . . .	--	--	--	--	12
FOREIGN GOVERNMENT . . . . .	1,389	683	0	259	20
OTHER NON CASH ISSUES . . . . .	10,138	7,447	631	965	849
Exchange transactions . . . . .	1,643	1,423	196	286	645
Reserved for conversion . . . . .	5,149	2,415	174	112	85
Warrants and options . . . . .	1,861	1,392	153	46	16
Other . . . . .	1,485	2,218	109	520	103

1/ The amounts covered in this table differ from totals for corporate securities offerings under the heading "Registered under the 1933 Act" shown in a preceding table chiefly because of differences in timing between effective registration dates and offering dates.

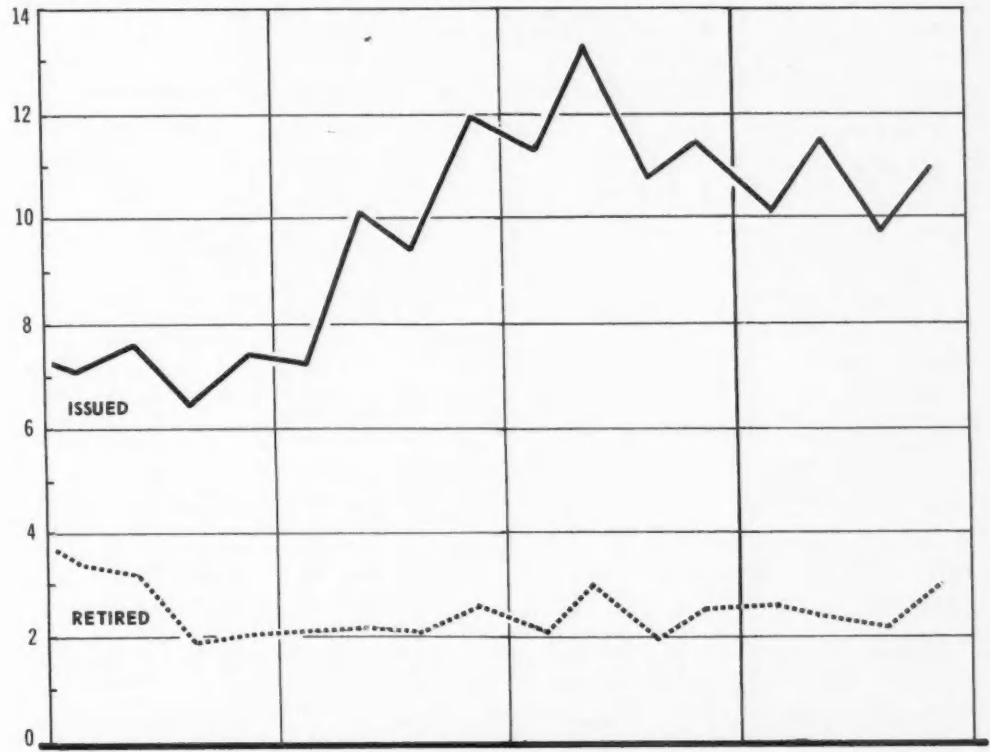
SEC 482a (7-72)

See Explanatory Notes, page

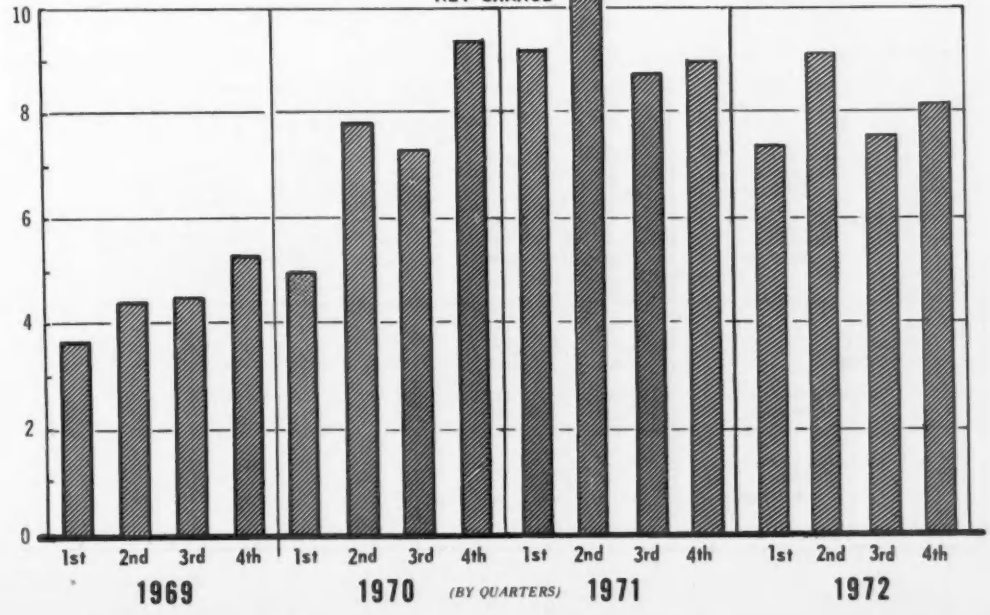
# **CORPORATE SECURITIES\*** **NET CHANGE IN AMOUNT OUTSTANDING**

DOLLARS BILLIONS

AMOUNT OF ISSUES AND RETIREMENTS



## **NET CHANGE**



\* Excludes Investment Companies

## NET CHANGE IN CORPORATE SECURITIES OUTSTANDING

(AMOUNTS IN MILLIONS OF DOLLARS)

	ANNUAL DATA		QUARTERLY DATA				
	1971	1972	1971 Oct.- Dec.	1972 Jan.- March	1972 Apr.- June	1972 July- Sept.	1972 Oct.- Dec.
<b>ALL INDUSTRIES</b>							
New issues .....	46,687	42,306	11,488	10,072	11,514	9,776	10,944
Retirements .....	9,507	10,224	2,521	2,691	2,389	2,212	2,932
Net Change .....	37,180	32,082	8,967	7,381	9,125	7,564	8,012
Debt - New issues .....	31,917	27,065	8,019	6,699	7,250	6,118	6,998
Retirements .....	8,190	8,003	2,084	2,002	2,191	1,603	2,207
Net change .....	23,728	19,062	5,935	4,698	5,059	4,515	4,790
Stock - New issues .....	14,769	15,242	3,469	3,373	4,264	3,659	3,946
Retirements .....	1,318	2,222	437	690	198	609	725
Net change .....	13,452	13,018	3,032	2,683	4,066	3,049	3,220
<b>MANUFACTURING</b>							
New issues .....	12,865	8,185	2,805	1,950	2,383	2,025	1,827
Retirements .....	3,746	4,096	991	831	828	1,017	1,420
Net Change .....	9,118	4,088	1,813	1,119	1,555	1,008	406
Debt - New issues .....	9,571	4,832	2,118	1,264	1,415	1,096	1,057
Retirements .....	2,985	2,837	757	568	711	618	940
Net change .....	6,585	1,995	1,361	696	704	479	116
Stock - New issues .....	3,295	3,353	687	686	968	929	770
Retirements .....	761	1,259	234	263	117	399	480
Net change .....	2,534	2,094	453	423	851	530	290
<b>EXTRACTIVE</b>							
New issues .....	931	1,647	209	329	227	408	683
Retirements .....	334	240	69	77	32	68	63
Net change .....	598	1,406	140	252	195	339	620
Debt - New issues .....	161	673	51	63	46	179	385
Retirements .....	241	167	40	75	31	24	37
Net change .....	-80	505	11	-12	16	154	347
Stock - New issues .....	771	973	158	266	180	229	298
Retirements .....	92	72	29	2	1	44	25
Net change .....	678	901	129	264	179	185	273
<b>ELECTRIC, GAS AND WATER</b>							
New issues .....	11,705	11,224	3,145	2,076	3,404	2,720	3,024
Retirements .....	1,013	1,243	214	377	384	249	233
Net change .....	10,691	9,981	2,931	1,699	3,020	2,471	2,791
Debt - New issues .....	7,484	6,238	1,959	1,090	2,211	1,651	1,286
Retirements .....	997	1,100	210	263	367	241	229
Net change .....	6,486	5,137	1,749	827	1,844	1,410	1,056
Stock - New issues .....	4,223	4,987	1,187	986	1,193	1,069	1,739
Retirements .....	16	143	4	114	17	8	4
Net change .....	4,206	4,844	1,183	872	1,176	1,061	1,735
<b>RAILROAD</b>							
New issues .....	900	452	179	5	147	120	180
Retirements .....	271	296	249	126	62	66	42
Net change .....	630	156	-69	-121	85	54	138
Debt - New issues .....	896	452	181	5	147	120	180
Retirements .....	270	289	249	125	62	60	42
Net change .....	626	162	-68	-121	85	60	138
Stock - New issues .....	5	0	-1	0	0	0	0
Retirements .....	1	6	*	*	0	6	0
Net change .....	4	-6	-1	*	0	-6	0

SEC 988 (3-70)

(Continued on next page)



## NET CHANGE IN CORPORATE SECURITIES OUTSTANDING

(AMOUNTS IN MILLIONS OF DOLLARS)

	ANNUAL DATA		QUARTERLY DATA				
	1971	1972	1971 Oct.- Dec.	1972 Jan.- March	1972 Apr.- June	1972 July- Sept.	1972 Oct.- Dec.
<b>OTHER TRANSPORTATION</b>							
New issues .....	1,900	1,471	512	538	409	249	275
Retirements .....	830	662	307	135	203	137	187
Net change .....	1,070	809	205	403	206	112	88
Debt - New issues .....	1,084	1,174	346	506	233	213	222
Retirements .....	810	624	305	118	191	134	181
Net change .....	274	549	41	388	42	78	41
Stock - New issues .....	816	298	166	32	176	37	53
Retirements .....	21	36	2	17	11	3	5
Net change .....	796	260	164	15	164	34	47
<b>COMMUNICATION</b>							
New issues .....	5,836	4,953	1,060	1,510	1,384	967	1,092
Retirements .....	312	350	26	88	114	89	59
Net change .....	5,524	4,603	1,034	1,422	1,270	878	1,033
Debt - New issues .....	4,226	3,662	1,005	1,093	920	659	990
Retirements .....	300	319	24	73	114	86	46
Net change .....	3,925	3,343	980	1,020	806	573	944
Stock - New issues .....	1,611	1,291	55	418	464	308	101
Retirements .....	11	29	1	15	*	2	12
Net change .....	1,600	1,260	54	402	464	305	89
<b>FINANCIAL AND REAL ESTATE</b>							
New issues .....	8,672	10,566	2,795	2,977	2,370	2,248	2,971
Retirements .....	1,652	2,005	378	695	499	340	471
Net change .....	7,021	8,560	2,417	2,281	1,871	1,908	2,500
Debt - New issues .....	6,395	8,136	1,953	2,372	1,711	1,733	2,320
Retirements .....	1,392	1,670	271	516	477	277	400
Net change .....	5,005	6,465	1,683	1,856	1,233	1,456	1,920
Stock - New issues .....	2,278	2,431	841	605	659	516	651
Retirements .....	261	336	107	180	22	63	71
Net change .....	2,017	2,096	734	425	638	453	580
<b>COMMERCIAL AND OTHER</b>							
New issues .....	3,872	3,807	783	687	1,189	1,039	892
Retirements .....	1,347	1,333	287	363	267	245	458
Net change .....	2,524	2,474	495	324	923	793	434
Debt - New issues .....	2,101	1,900	407	307	566	468	559
Retirements .....	1,193	994	227	264	238	162	330
Net change .....	907	904	179	43	328	305	228
Stock - New issues .....	1,771	1,908	376	380	624	571	333
Retirements .....	154	339	60	99	29	83	128
Net change .....	1,618	1,570	316	281	595	488	206

## EXPLANATORY NOTES

### Net Change in Corporate Securities Outstanding

Estimates of net cash flow in securities are derived by deducting from the amount of estimated proceeds received by domestic corporations through the sale of securities the amount of estimated payments by domestic corporations to investors for securities retired. The figures on total new issues of securities are based on the corporate offering series (appearing on earlier pages of this Bulletin), with certain adjustments. For instance, sales of stock to employees are included, whereas such sales are not covered in the corporate offering series, and a deduction is made from the original data for foreign issues sold in the United States. Also an adjustment is made to deduct from the total offerings the amount of issues which, although they were offered for sale, were not actually sold. The series on retirements covers the same types of issues as in the offerings series, and includes issues retired with internal funds as well as with proceeds from new issues sold for refunding purposes. The series is formed chiefly from individual records of each security issue retired through a cash transaction. The individual records are compiled from announcements appearing in the financial press and statistical services, and are supplemented by data for companies which are required to file periodic reports with the Commission.

The figures are not all-inclusive, but it is believed that the amount of issues omitted is small in relation to the volume covered. It is probable that the deficiencies in the series are of less significance in the post-war period than for earlier years. Certain types of transactions not included in the offerings statistics are unknown sales, such as small private placements, and issues exchanged for assets or other securities of the same type. Furthermore, new issues do not usually include entrepreneurial capital used in forming new firms without resort to outside securities financing, nor is the liquidation of small firms usually included in the retirement data. Purchases of own company stock for servicing options or thrift plans are not treated as retirements. It is probable that many small retirements of small companies may be omitted. To some extent the volume of new issues omitted is compensated by the volume of retirements omitted.

It should be noted that the series omits exchanges and defaults unless they involve cash payments. An exception is made in the case of conversions or exchanges of one type of security for another, such as debentures converted into common stock, which are included in issues and in retirements to the extent known. Although this results in inflated individual totals for issues and retirements, the net cash flow is unaffected, and more accurate data for net debt issues and net stock issues result.

## CUMULATIVE SEMI-ANNUAL INDEX OF SERIES APPEARING IN THE STATISTICAL BULLETIN

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W-2	SHARE VOLUME FOR ODD-LOT ACCOUNTS OF ODD-LOT DEALER ON THE NYSE	CURRENT ISSUE COVERS WEEK ENDED 2 FRIDAYS EARLIER							
W-3	ODD-LOT CUSTOMERS SHARE VOLUME ON THE NYSE: 100 COMMON STOCKS	CURRENT ISSUE COVERS 4 WEEKS ENDED 2 FRIDAYS EARLIER							
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W-6	FORM 8-K FILINGS	CURRENT ISSUE COVERS WEEK ENDED PREVIOUS WEDNESDAY							
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